#### Self-Hosting (Almost) All The Way Down

Gabriel L. Somlo, Ph.D.

CERT / SEI Carnegie Mellon University Pittsburgh, PA 15213



Carnegie Mellon University Software Engineering Institute [DISTRIBUTION STATEMENT A] Approved for public release and unlimited distribution. DM23-0044

Copyright 2023 Carnegie Mellon University.

This material is based upon work funded and supported by the Department of Defense under Contract No. FA8702-15-D-0002 with Carnegie Mellon University for the operation of the Software Engineering Institute, a federally funded research and development center.

The views, opinions, and/or findings contained in this material are those of the author(s) and should not be construed as an official Government position, policy, or decision, unless designated by other documentation.

References herein to any specific commercial product, process, or service by trade name, trade mark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by Carnegie Mellon University or its Software Engineering Institute.

NO WARRANTY. THIS CARNEGIE MELLON UNIVERSITY AND SOFTWARE ENGINEERING INSTITUTE MATERIAL IS FURNISHED ON AN "AS-IS" BASIS. CARNEGIE MELLON UNIVERSITY MAKES NO WARRANTIES OF ANY KIND, EITHER EXPRESSED OR IMPLIED, AS TO ANY MATTER INCLUDING, BUT NOT LIMITED TO, WARRANTY OF FITNESS FOR PURPOSE OR MERCHANTABILITY, EXCLUSIVITY, OR RESULTS OBTAINED FROM USE OF THE MATERIAL. CARNEGIE MELLON UNIVERSITY DOES NOT MAKE ANY WARRANTY OF ANY KIND WITH RESPECT TO FREEDOM FROM PATENT, TRADEMARK, OR COPYRIGHT INFRINGEMENT.

[DISTRIBUTION STATEMENT A] This material has been approved for public release and unlimited distribution. Please see Copyright notice for non-US Government use and distribution.

This material may be reproduced in its entirety, without modification, and freely distributed in written or electronic form without requesting formal permission. Permission is required for any other use. Requests for permission should be directed to the Software Engineering Institute at permission@sei.cmu.edu.

CERT® is registered in the U.S. Patent and Trademark Office by Carnegie Mellon University.

DM23-0044

# **Executive Summary**

- Self-Hosting, Trusting-Trust, and Gateware
  - ASIC & FPGA threat models, trustability trade-offs
- Slowest, most memory-constrained computer still able to boot Fedora 37: 50MHz CPU, 512MB RAM
  - RocketChip, LiteX, yosys/nextpnr FOSS HDL toolchain
  - Lattice ECP5 FPGA dev. board (λC ECPIX-5 85F)
  - Self-Hosting: Capable of building ECPIX-5 bitstream!

# Self-Hosting (Compiler)



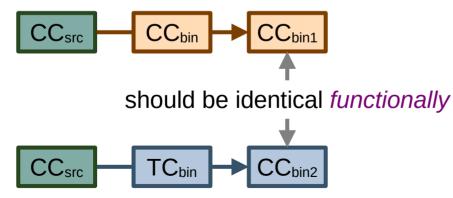
- Written in its own language
- Compiles its own sources
- Bootstrapping
- NOT to be confused with self-hosted Web services (vs. hosted in the "cloud")!

- Ken Thompson's self-propagating C compiler hack
  - malicious compiler inserts Trojan during compilation of victim program
    - clean sources → malicious binary (incl. *compiler's own sources*!)
    - compiler source hack no longer needed beyond 1st iteration!

- Ken Thompson's self-propagating C compiler hack
  - malicious compiler inserts Trojan during compilation of victim program
    - clean sources → malicious binary (incl. *compiler's own sources*!)
    - compiler source hack *no longer needed* beyond 1<sup>st</sup> iteration!
- David A. Wheeler's mitigation: Diverse Double Compilation (DDC) CC: "suspect" compiler; TC: "third party" compiler;

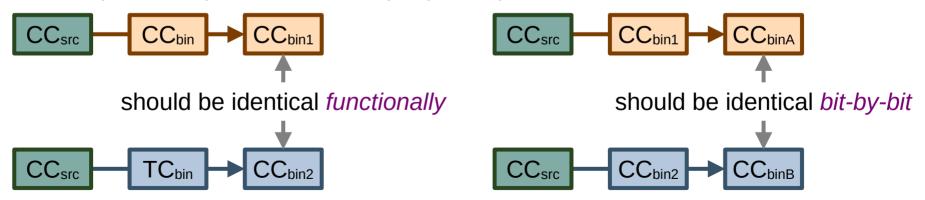
- Ken Thompson's self-propagating C compiler hack
  - malicious compiler inserts Trojan during compilation of victim program
    - clean sources → malicious binary (incl. compiler's own sources!)
    - compiler source hack *no longer needed* beyond 1<sup>st</sup> iteration!
- David A. Wheeler's mitigation: Diverse Double Compilation (DDC)

CC: "suspect" compiler; TC: "third party" compiler;



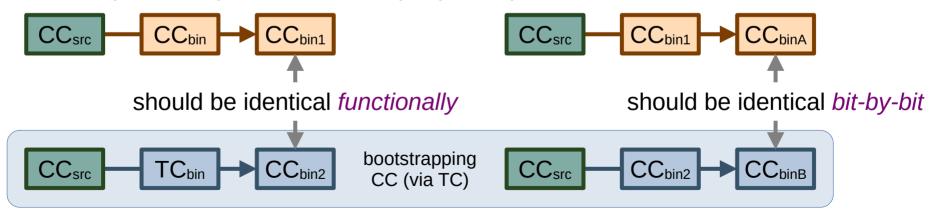
- Ken Thompson's self-propagating C compiler hack
  - malicious compiler inserts Trojan during compilation of victim program
    - clean sources → malicious binary (incl. compiler's own sources!)
    - compiler source hack *no longer needed* beyond 1<sup>st</sup> iteration!
- David A. Wheeler's mitigation: Diverse Double Compilation (DDC)

CC: "suspect" compiler; TC: "third party" compiler;



- Ken Thompson's self-propagating C compiler hack
  - malicious compiler inserts Trojan during compilation of victim program
    - clean sources → malicious binary (incl. compiler's own sources!)
    - compiler source hack *no longer needed* beyond 1<sup>st</sup> iteration!
- David A. Wheeler's mitigation: Diverse Double Compilation (DDC)

CC: "suspect" compiler; TC: "third party" compiler;



## Self-Hosting Software Stack

Applications	C compiler
System / Runtime Library	
Kernel	
Hypervisor	

 Self-hosting compiler can build all software needed to support its own execution

• From FOSS sources to all components!

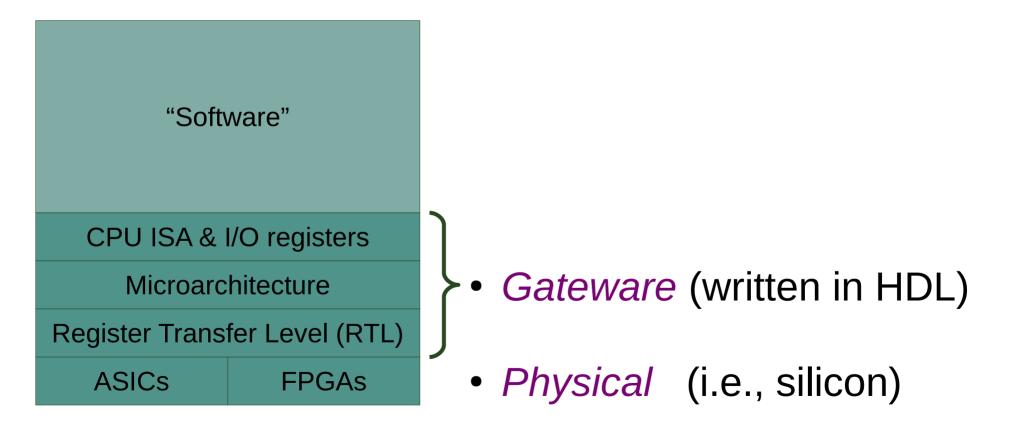
## Self-Hosting Software Stack

C compiler **Applications** System / Runtime Library Kernel Hypervisor "Hardware"

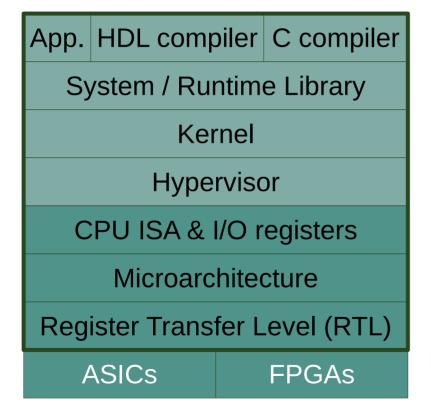
 Self-hosting compiler can build all software needed to support its own execution

• Relies on Hardware

#### More Details re. Hardware

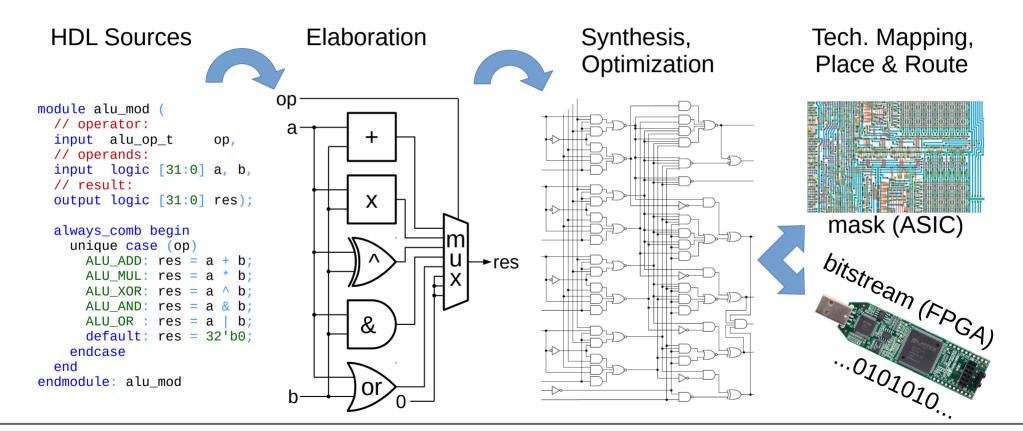


# Self-Hosting Extended to Gateware



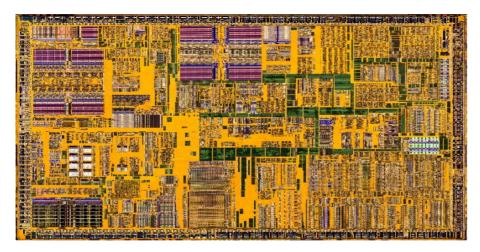
- C compiler → *Software*
- HDL compiler → *Gateware*
- Free / Libre sources to all software & gateware
- *Physical* layer (ASIC or FPGA) is out of scope!

# **Gateware Compilation Stages**



#### Carnegie Mellon University Software Engineering Institute

#### ASICs vs. FPGAs



- Application Specific Integrated Circuit
- · dedicated, optimized etched silicon
  - photolithographic masks
- hard IP cores



- Field Programmable Gate Array
- grid: programmable blocks, interconnect
  - bitstream
- soft IP cores

## Hardware Attack Surface

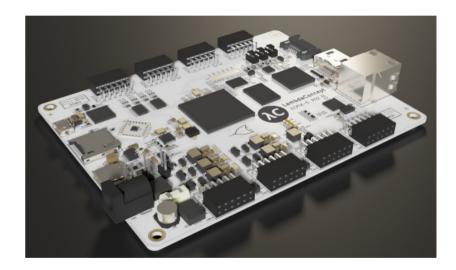
- Fabrication (Malicious ASIC Foundry)
  - masks reverse engineered, modified to insert malicious behavior into ASIC
    - privilege escalation CPU backdoor (A2 Trojan)
    - tamper with silicon doping polarity (e.g., to weaken hardware-based crypto)
  - problematic to test / verify after the fact!
  - mitigated by using FPGAs: hard to predict where to add *useful* Trojan silicon!
- Compilation (Malicious HDL Toolchain)
  - generate *malicious* design from *clean* HDL sources
- Design Defects (accidental or intentional HDL bugs)
  - Spectre, Meltdown, etc.

# Why Self-Hosting ?

- Freedom and Independence!
  - From black-box, closed, non-Free dependencies
- Trust *deployed system* to a degree equal to its *comprehensive set of sources*:
  - Gateware HDL
  - Software (including C, HDL compilers)

# Self-Hosting Fedora Demo

- LambdaConcept ECPIX-5 85F board
- LiteX (Rocket CPU)
- yosys, trellis, nextpnr
- OpenSBI
- Fedora's riscv64 port



# LiteX bitstream for the ECPIX-5

litex-boards/litex\_boards/targets/lambdaoncept\_ecpix5.py --build \
 --cpu-type rocket --cpu-variant fulld --sys-clk-freq 50e6 \
 --with-ethernet --with-sdcard \
 --yosys-flow3 --nextpnr-timingstrict --nextpnr-seed \$RANDOM \
 --csr-csv ./csr.csv

• Use .csv and .dts from pythondata-cpu-rocket to build customized DT with chosen / bootargs:

console=liteuart earlycon=liteuart,0x12006800 swiotlb=noforce ro root=/dev/mmcblk0p2 enforcing=0 systemd.unit=multi-user.target systemd.default\_timeout\_start\_sec=360s

# **OpenSBI** firmware with built-in DTB

dtc -o dtb ecpix5\_fedora.dts -o ecpix5\_fedora.dtb

make CROSS\_COMPILE=riscv64-unknown-linux-gnu- \
 PLATFORM=generic FW\_FDT\_PATH=./ecpix5\_fedora.dtb

• Copy to first (vfat) partition of SDcard, along with kernel, initrd, and boot.json:

```
{
	"initramfs.img": "0x83000000",
	"Image": "0x80200000",
	"fw_jump.bin": "0x80000000"
```

# Fedora with custom kernel, initramfs

- Download Fedora riscv64 pre-built image
  - Copy root partition to second (ext4) SDcard partition
- Still requires *custom* kernel at the moment
  - Upstream IRQ support for LiteUART (serial console) still pending
  - Several newer stock Fedora riscv64 CONFIG\_\* options cause kernel to crash during early boot
    - currently under investigation
  - Built on a Fedora riscv64 QEMU VM

https://www.contrib.andrew.cmu.edu/~somlo/BTCP/self\_hosting\_fedora.html

#### Demo

- Boot Fedora on ECPIX-5
  - Run dnf install yosys trellis nextpnr
  - Build blinky bitstream from Verilog, for ECPIX-5

• Load ECPIX-5 with resulting blinky bitstream

## Future Work

Near term:

- Isolate and debug stock CONFIG\_\* kernel options
- Improve LiteX/Rocket SoC boot process
  - Programmatically generate DTS
  - Option to build DTB directly into LiteX BIOS
- Improve and upstream LiteSATA support

## Future Work

Medium term:

- FOSS HDL toolchains targeting larger FPGAs
  - e.g., 8 Rocket cores at >100MHz, 8GB RAM on VC707
     Dependency on Vivado currently precludes self-hosting!
- Fancier IP blocks: e.g., GPU, PCI
  - Or at least LiteVideo for 2-D GUI support

## Future Work

#### Long Term:

- Better understanding of ASIC fabrication process

#### Sci-Fi Long Term:

- Making our own ASICs (from Free/Open sources)
  - Sam Zeloof's home chip fab experiments
  - Consumer-grade mollecular assemblers (Diamond Age)

#### Thank You!

