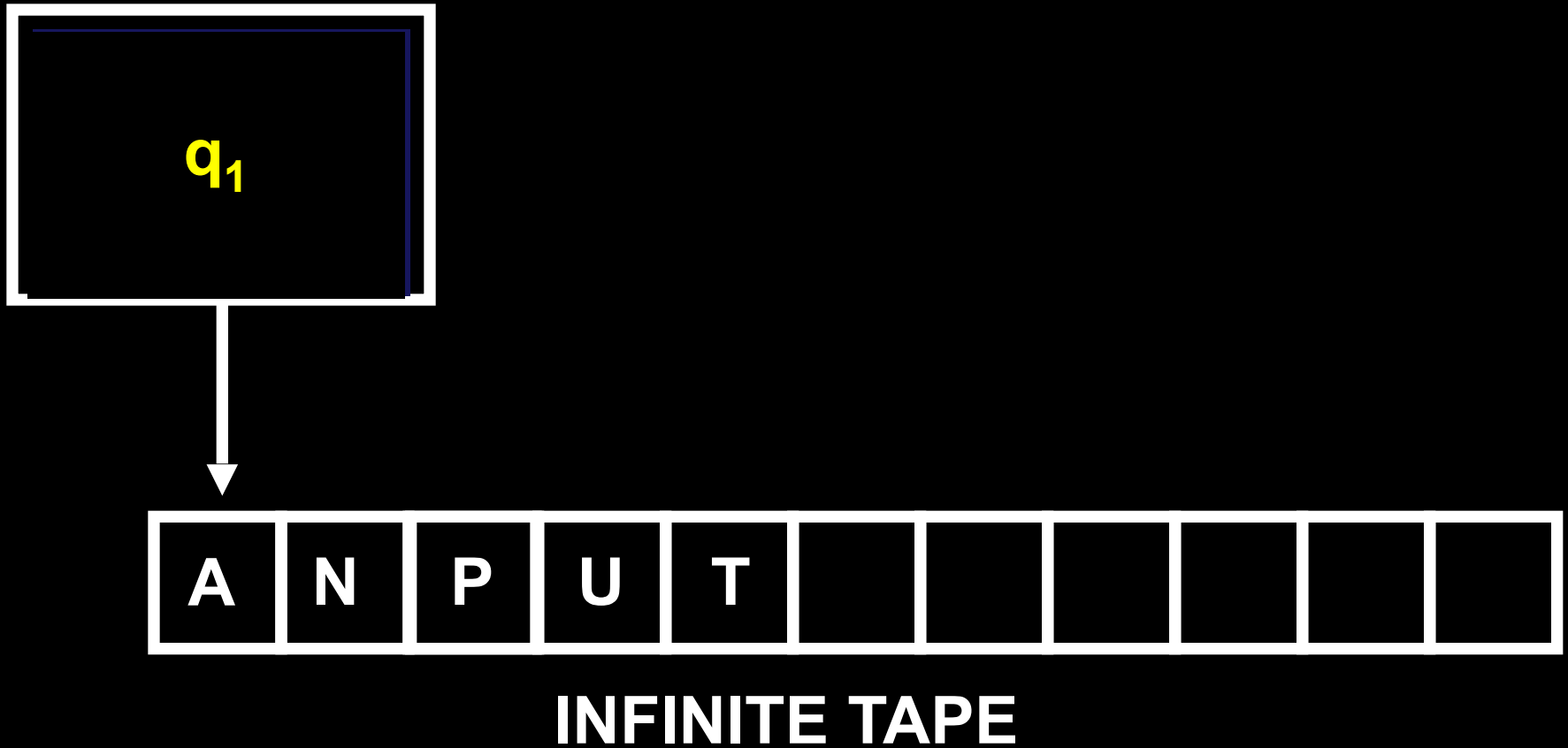
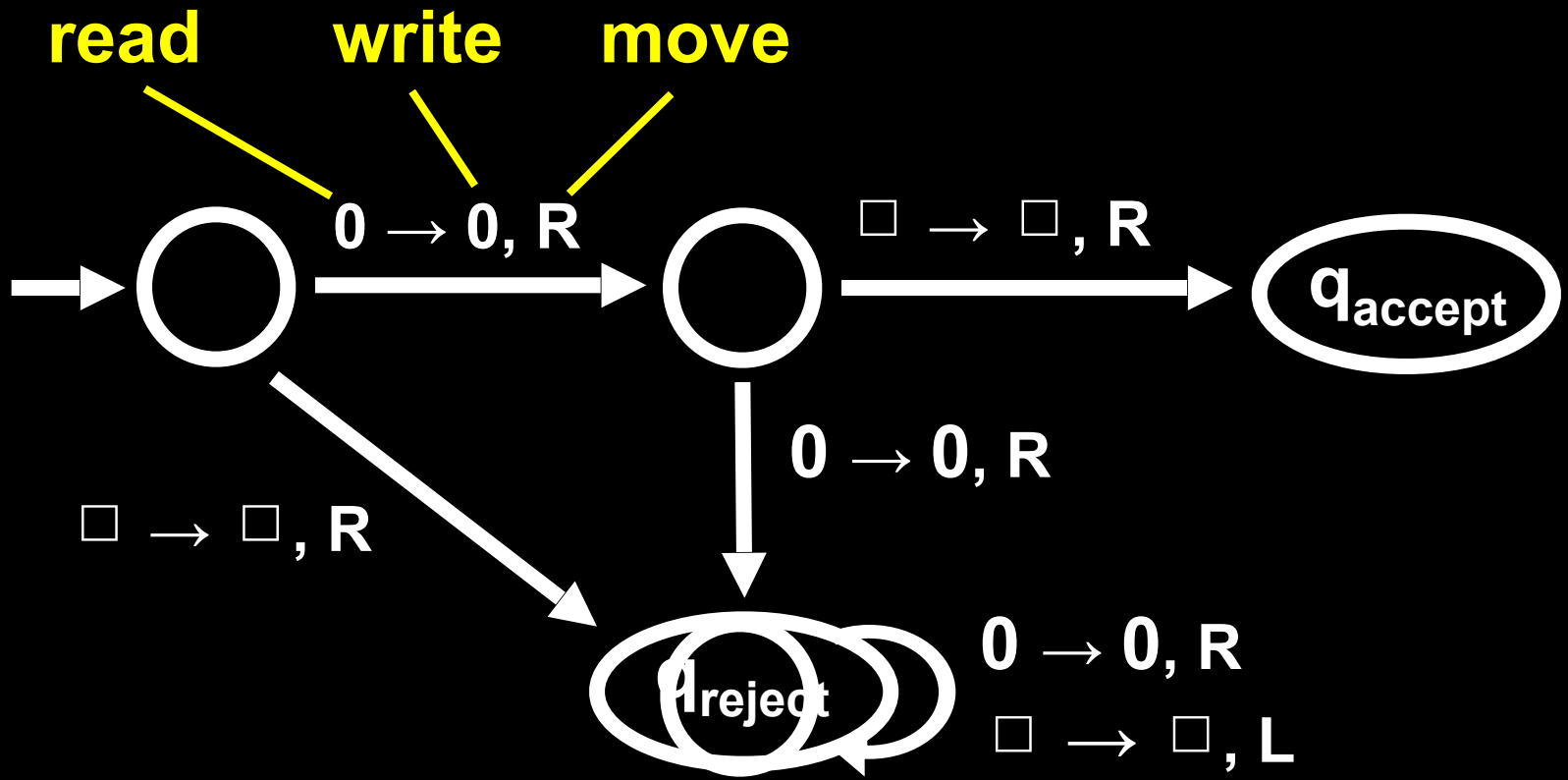


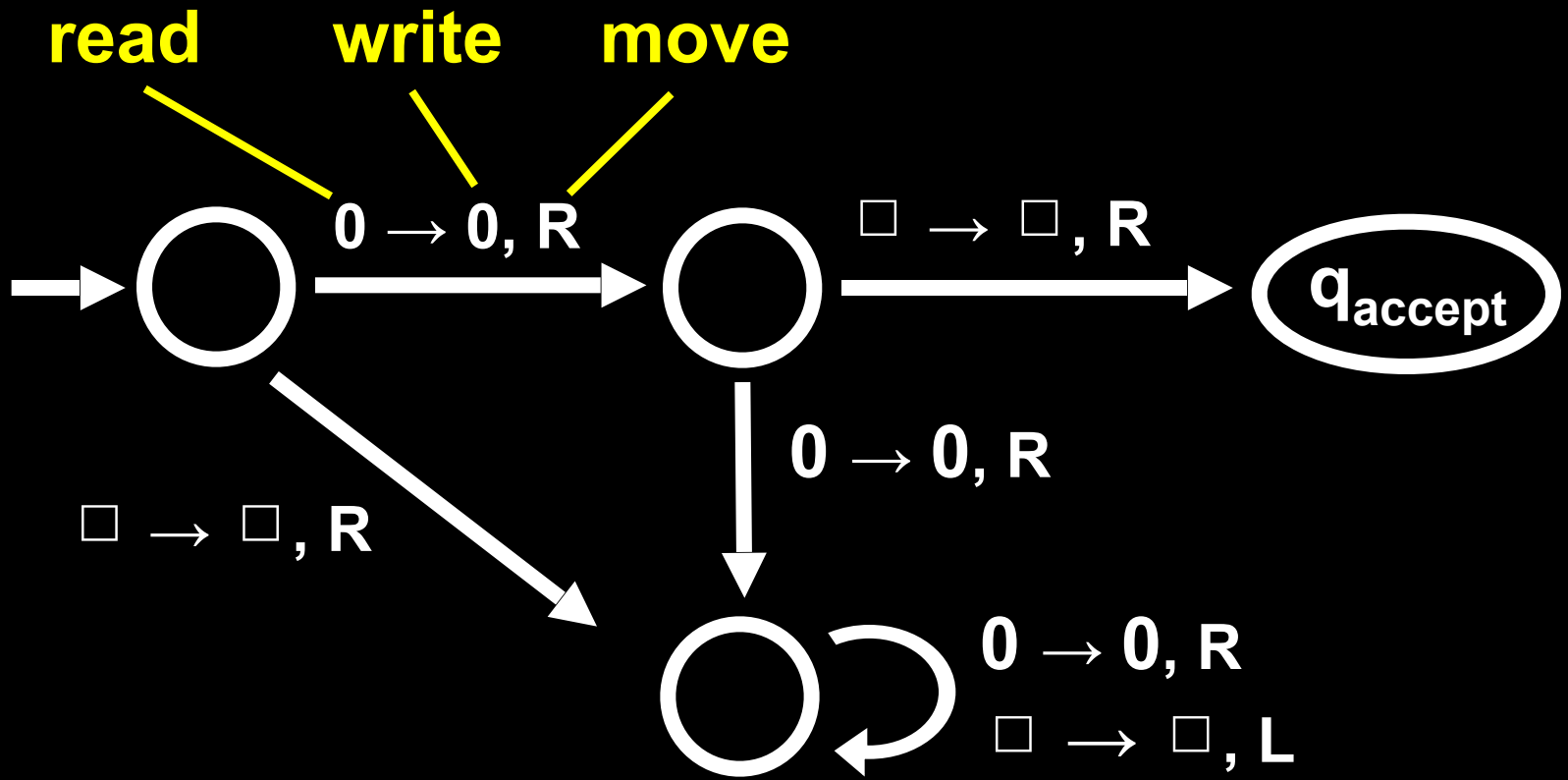
15-453

TURING MACHINES

TURING MACHINE







Definition: A Turing Machine is a 7-tuple $T = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$, where:

Q is a finite set of states

Σ is the input alphabet, where $\square \notin \Sigma$

Γ is the tape alphabet, where $\square \in \Gamma$ and $\Sigma \subseteq \Gamma$

$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$

$q_0 \in Q$ is the start state

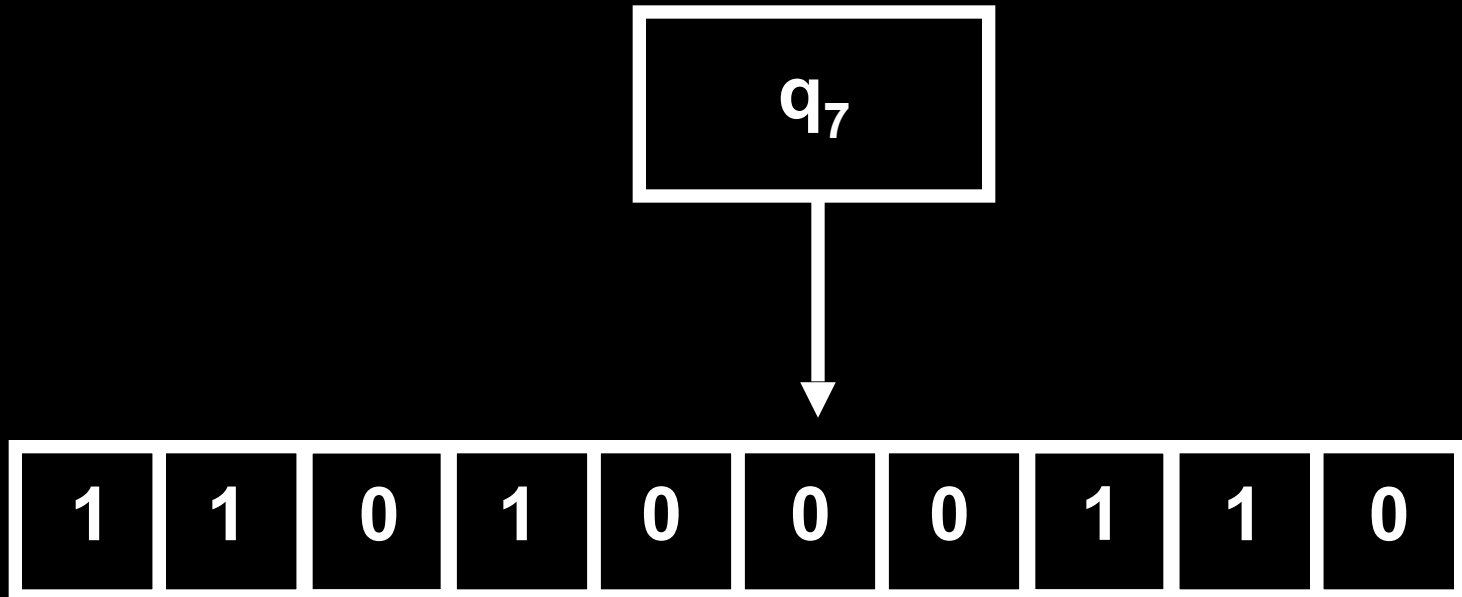
$q_{\text{accept}} \in Q$ is the accept state

$q_{\text{reject}} \in Q$ is the reject state, and $q_{\text{reject}} \neq q_{\text{accept}}$

CONFIGURATIONS

11010 q_7 00110

corresponds to:



A Turing Machine **M** accepts input **w** if there is a sequence of configurations **C₁, ..., C_k** such that

1. **C₁** is a *start* configuration of **M** on input **w**, ie

C₁ is **q₀w**

2. each **C_i** yields **C_{i+1}**, ie **M** can legally go from **C_i** to **C_{i+1}** in a single step

ua q_i bv	<i>yields</i>	u q_j acv	if $\delta(q_i, b) = (q_j, c, L)$
ua q_i bv	<i>yields</i>	uac q_j v	if $\delta(q_i, b) = (q_j, c, R)$

A Turing Machine **M** accepts input **w** if there is a sequence of configurations **C₁, ... , C_k** such that

1. **C₁** is a *start* configuration of **M** on input **w**, ie **C₁** is **q₀w**
2. each **C_i** yields **C_{i+1}**, ie **M** can legally go from **C_i** to **C_{i+1}** in a single step
3. **C_k** is an *accepting* configuration, ie the state of the configuration is **q_{accept}**

A Turing Machine **M** *rejects* input **w** if there is a sequence of configurations **C₁, ..., C_k** such that

1. **C₁** is a *start* configuration of **M** on input **w**, ie
C₁ is **q₀w**
2. each **C_i** yields **C_{i+1}**, ie **M** can legally go from **C_i** to **C_{i+1}** in a single step
3. **C_k** is a *rejecting* configuration, ie the state of the configuration is **q_{reject}**

A TM **decides** a language if it accepts all strings in the language and rejects all strings not in the language

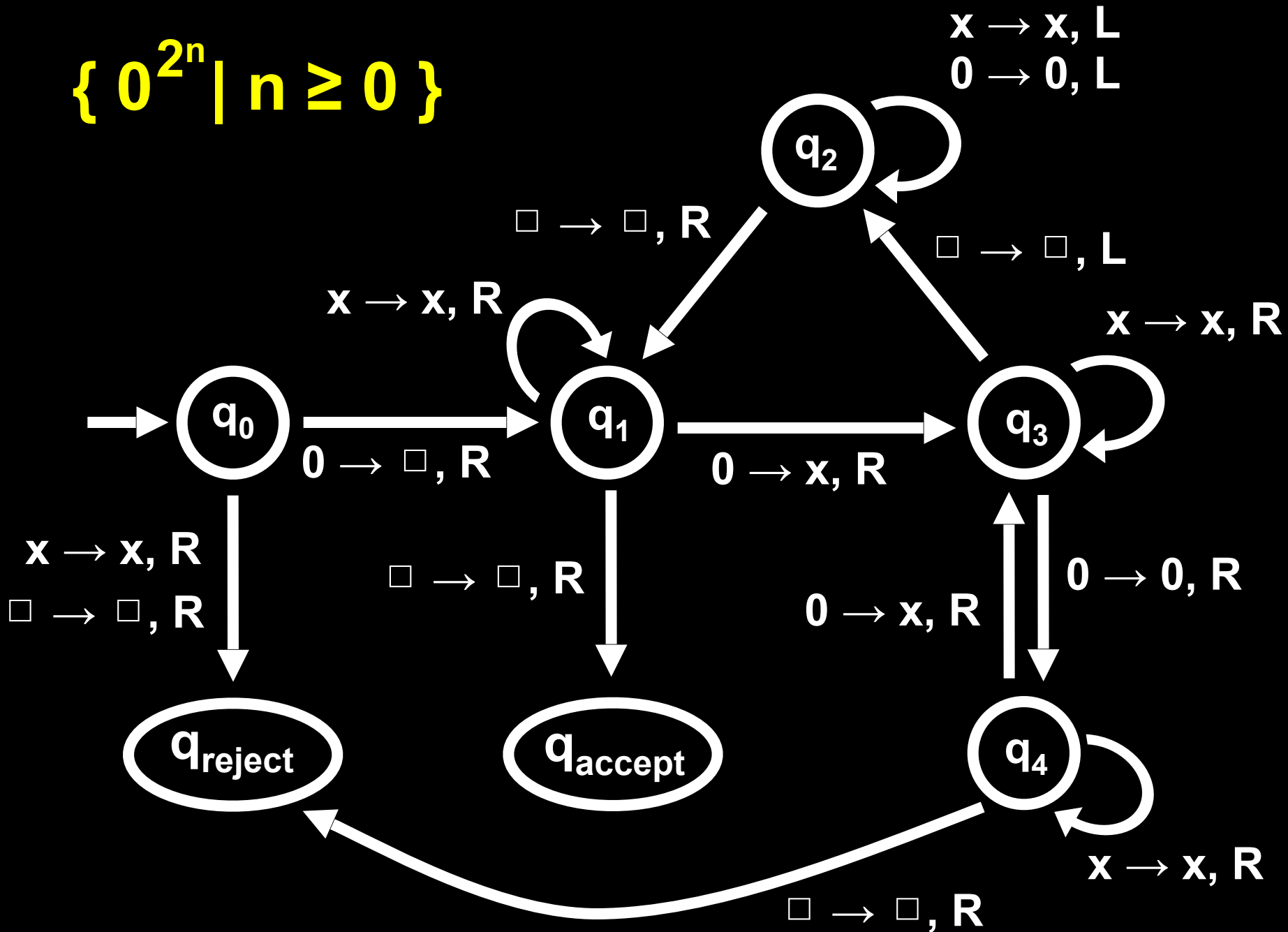
A language is called **decidable** or **recursive** if some TM decides it

$\{ 0^{2^n} \mid n \geq 0 \}$ is decidable.

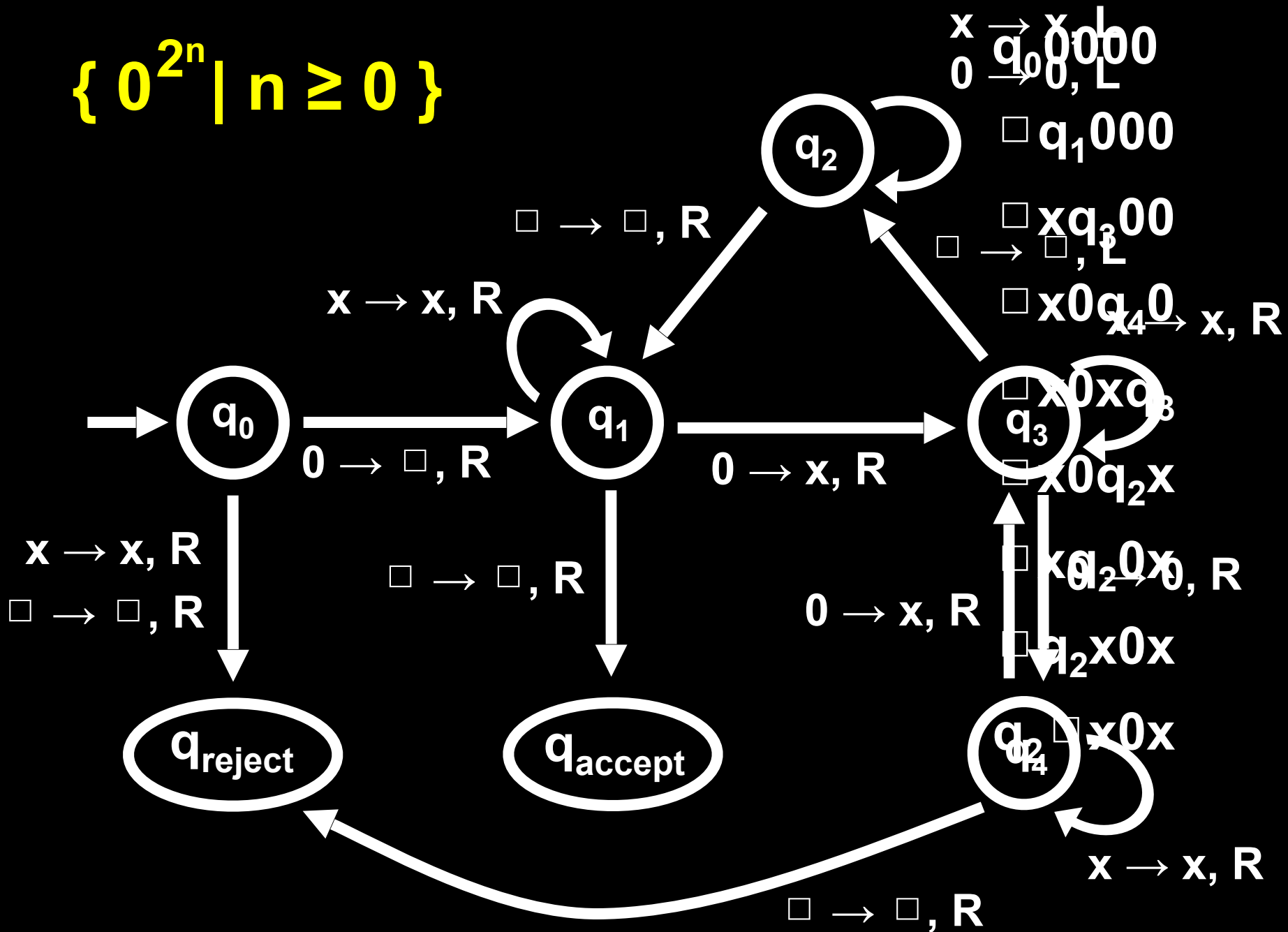
PSEUDOCODE:

1. Sweep from left to right, cross out every other 0
2. If in stage 1, the tape had only one 0, *accept*
3. If in stage 1, the tape had an odd number of 0's, *reject*
4. Move the head back to the first input symbol.
5. Go to stage 1.

$\{0^{2^n} \mid n \geq 0\}$



$\{0^{2^n} \mid n \geq 0\}$



A TM **decides** a language if it accepts all strings in the language and rejects all strings not in the language

A language is called **decidable** or **recursive** if some TM decides it

Theorem: L decidable $\leftrightarrow \neg L$ decidable

Proof: L has a machine M that accepts or rejects on all inputs. Define M' to be M with accept and reject states swapped. M' decides $\neg L$.

Theorem: A, B decidable $\rightarrow A \cup B$ decidable

**Proof: Let M be a TM for A . Let M' be a TM for B .
Make a Union machine implementing the following pseudo-code:**

(Intuition: use the even squares to simulate M , and the odd squares to simulate M')

Double input size by writing each input symbol twice starting with q_0 symbols. Use cross product construction to allow the finite state control to remember state of each TM. Move pebble around to always be one square left of position of head in M or M' , respectively. Odd phase: Bring head back to start symbol of tape, scan odd squares to find tape head location at pebble... accept if either M or M' accept.

A TM **recognizes** a language if it accepts all and only those strings in the language

A language is called **Turing-recognizable** or **recursively enumerable**, (or **r.e.** or **semi-decidable**) if some TM recognizes it

A TM **decides** a language if it accepts all strings in the language and rejects all strings not in the language

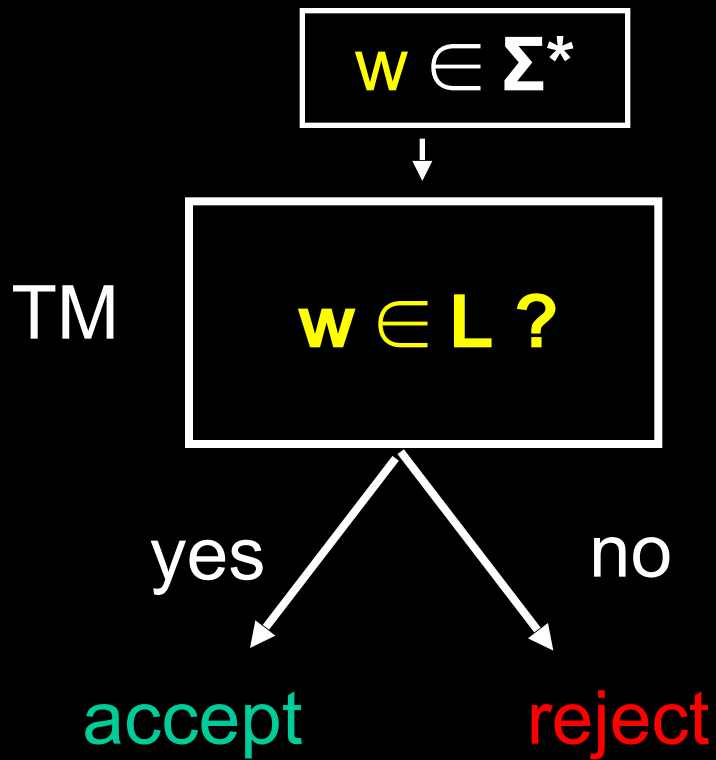
A language is called **decidable** or **recursive** if some TM decides it

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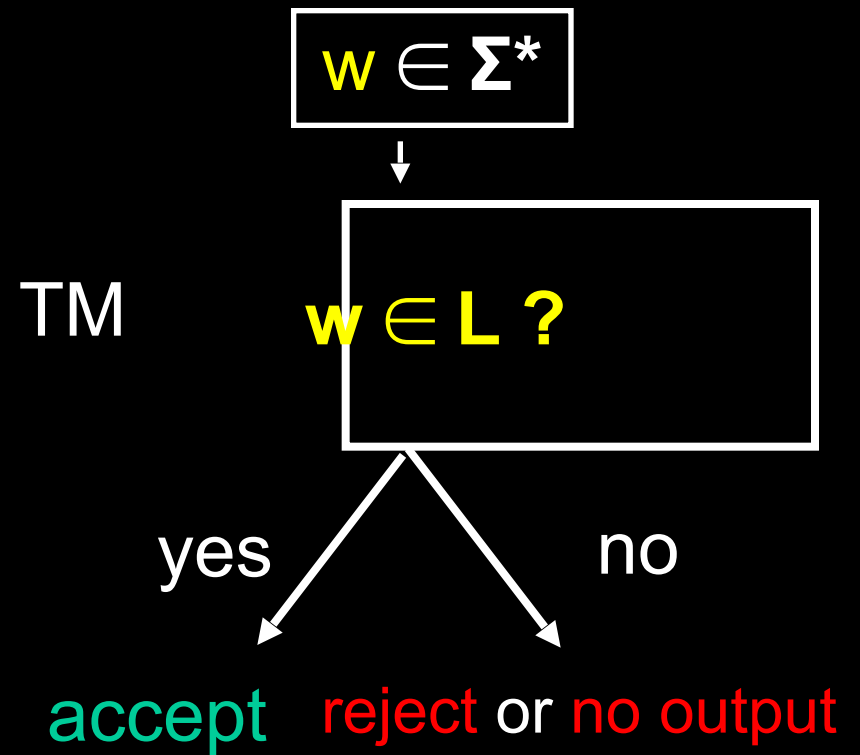
A language is called **Turing-recognizable** or **recursively enumerable**, (or **r.e.** or **semi-decidable**) if some TM recognizes it

FALSE: $L \text{ r.e.} \leftrightarrow \neg L \text{ r.e.}$

Proof: L has a machine M that accepts or rejects on all inputs. Define M' to be M with accept and reject states swapped. M' decides $\neg L$.



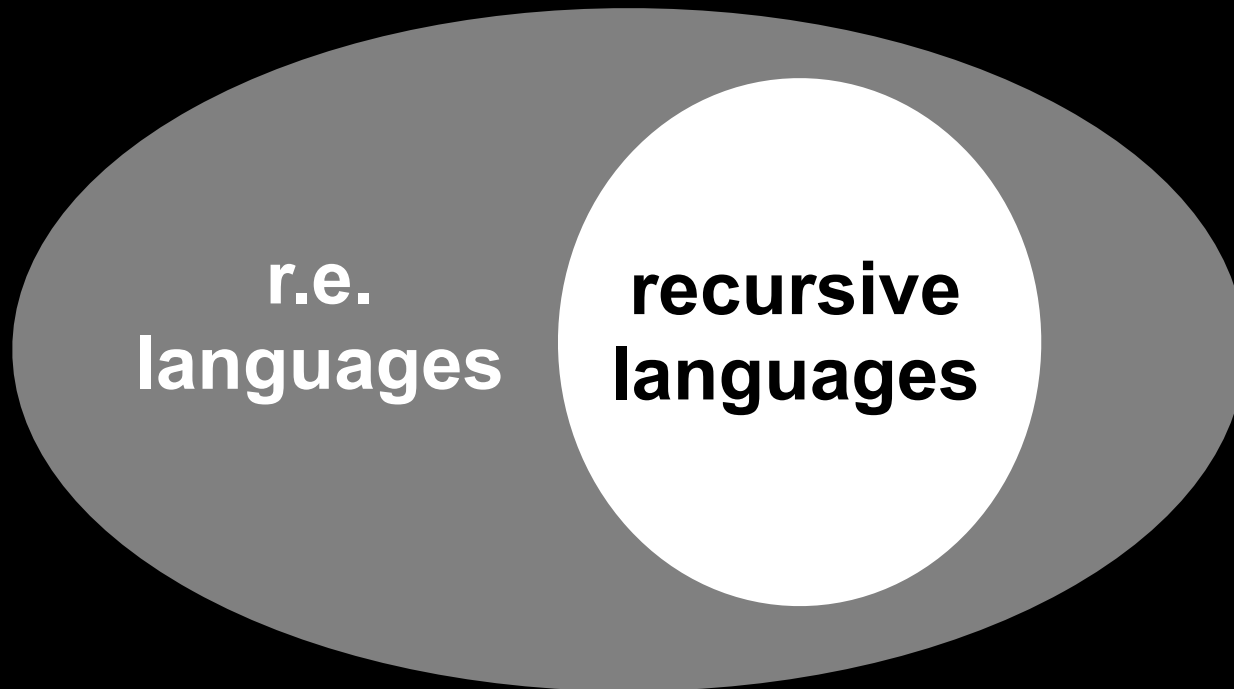
L is **decidable**
(recursive)



L is **semi-decidable**
(recursively enumerable,
Turing-recognizable)

A language is called **Turing-recognizable** or **recursively enumerable (r.e.)** or **semi-decidable** if some TM **recognizes** it

A language is called **decidable** or **recursive** if some TM **decides** it



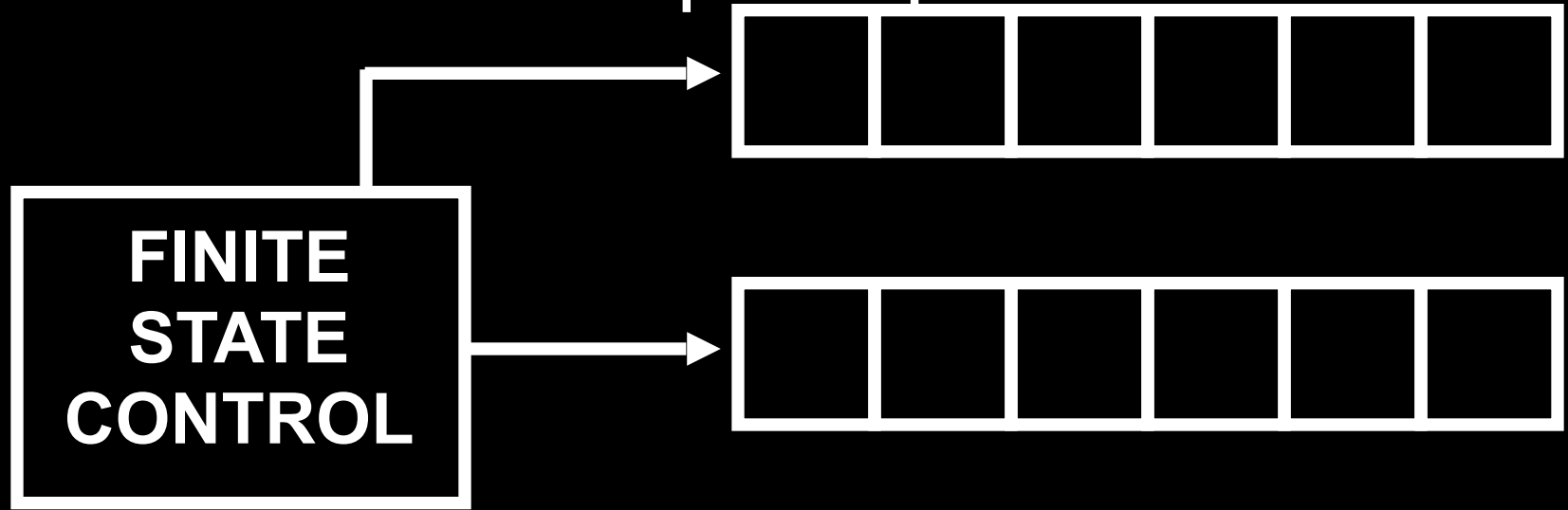
Theorem: If A and $\neg A$ are r.e. then A is recursive

Theorem: If A and $\neg A$ are r.e. then A is recursive

Suppose M accepts A . M' accepts $\neg A$ decidable

Use Odd squares/ Even squares simulation of M and M' . If x is accepted by the even squares reject it/ accepted by the odd squares then accept x .

TURING MACHINE with WRITE ONLY output tape.



Outputs a sequence of strings separated by hash marks. Allows for a well defined infinite sequence of strings in the limit.

The machine is said to enumerate the sequence of strings occurring on the

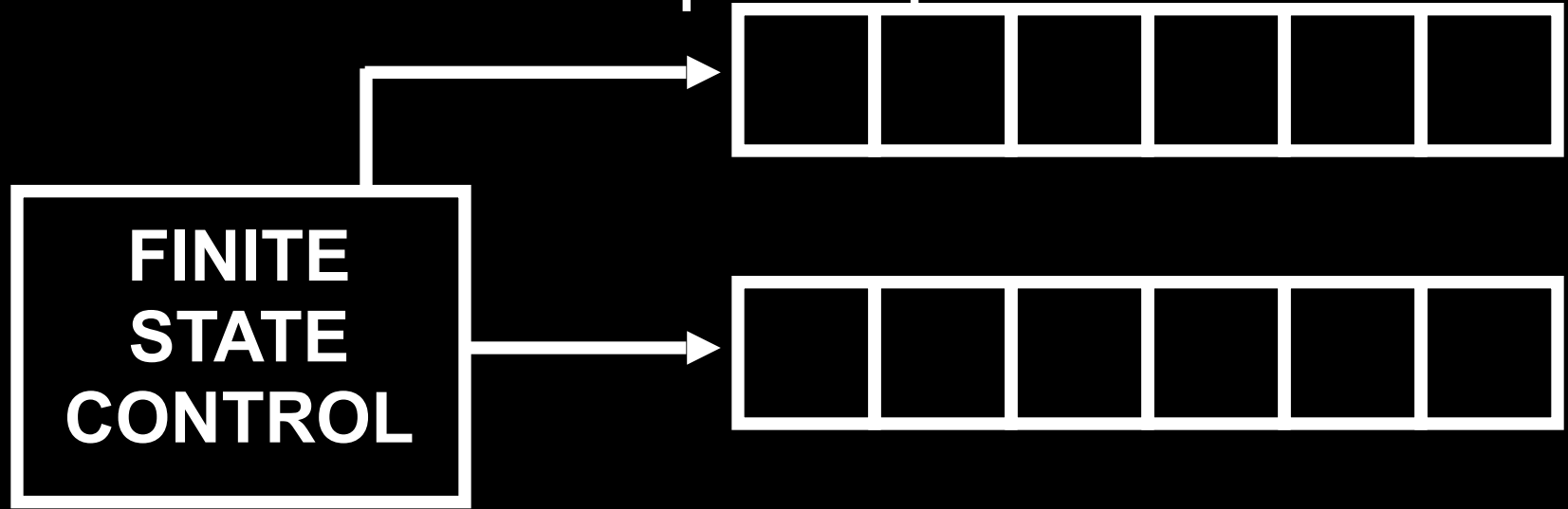
**Lex-order has an enumerator
strings of length 1, the length 2,**

Pairs of binary strings have a lex-order enumerator

**for each $n > 0$ list all pairs of strings a, b as $\#a\#b\#$
where total length of a and b is n .**

**Let $\text{BINARY}(w)$ = pair of binary strings be any fixed
way of encoding a pair of binary strings with a single
binary string**

TURING MACHINE with WRITE ONLY output tape.



Outputs a sequence of strings separated by hash marks. Allows for a well defined infinite sequence of strings in the limit. The machine is said to enumerate the set of strings occurring on the tape.

From every TM M accepting A .
there is a TM M' outputting A .

For $n = 0$ to forever do

{ {Do n parallel simulations of M for
 n steps for the first n inputs}

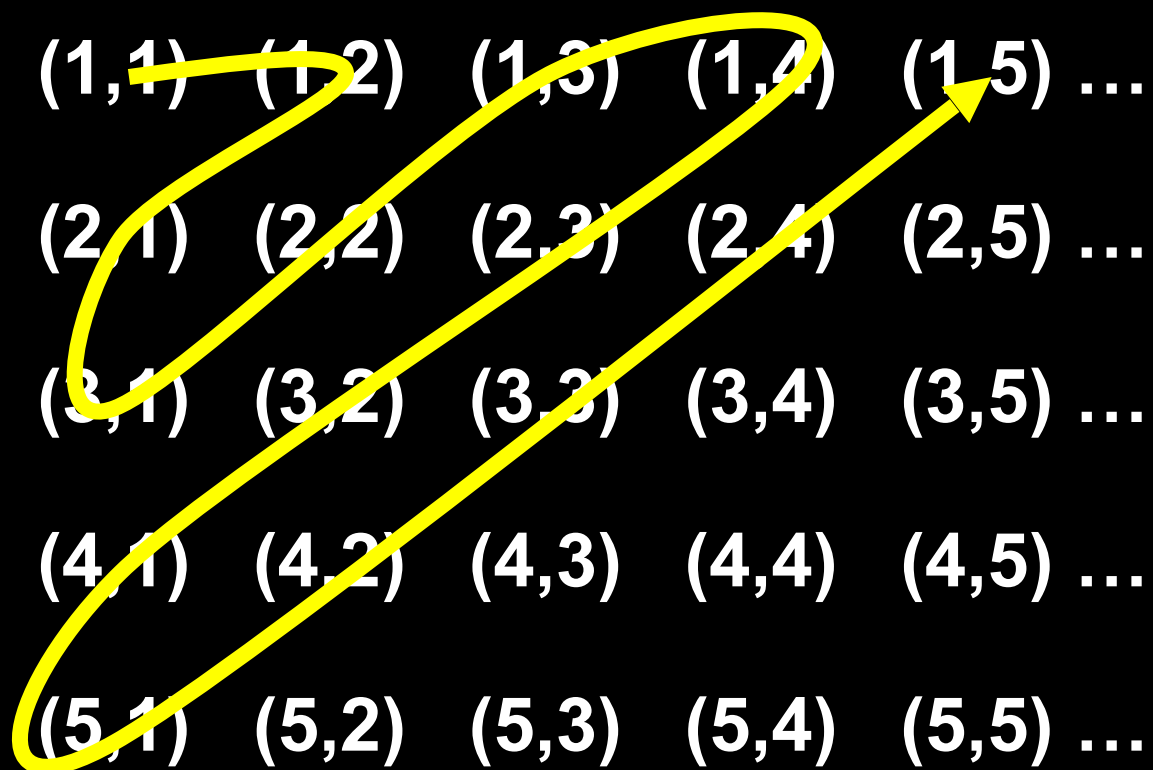
$M(0)$, $M(1)$, $M(2)$, $M(3)$..

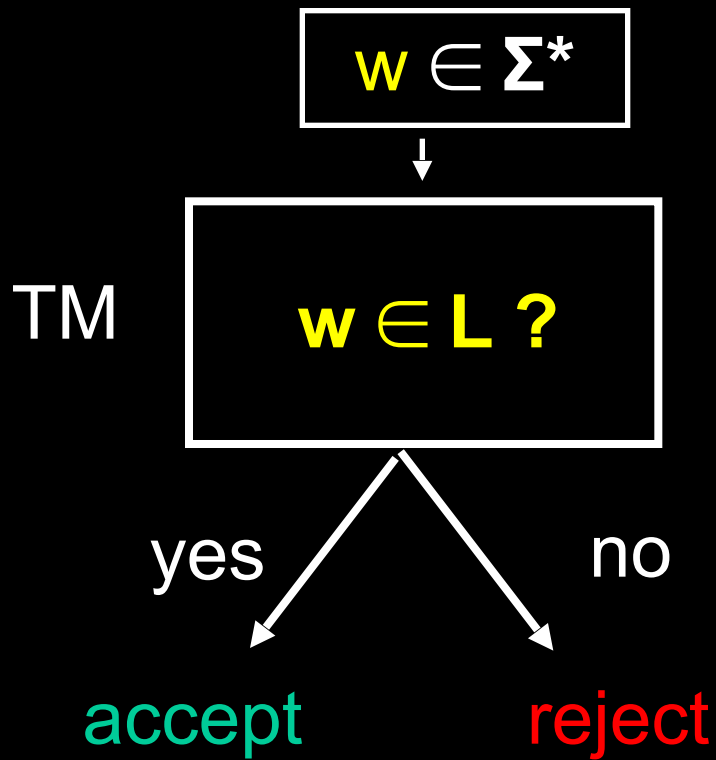
} Odd/Even trick becomes "modulo
 n " trick. If $M(x)$ accepts then
output($x\#$)

From every TM M outputting A .
there is a TM M' accepting A .

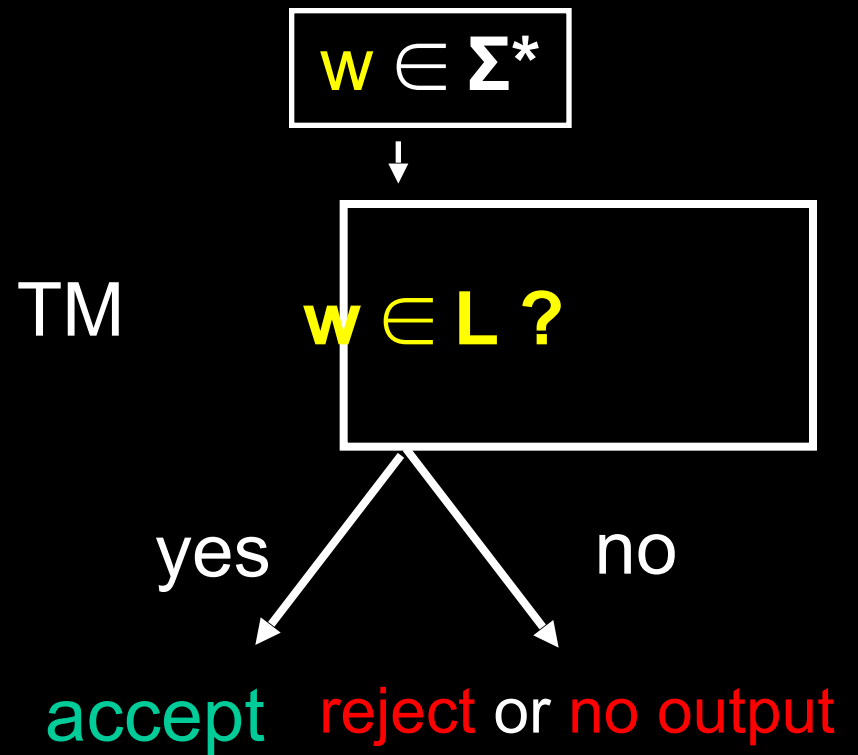
$M''(X)$ run M , accept if X output on tape.

Let $\mathbb{Z}^+ = \{1,2,3,4,\dots\}$. There exists a bijection
between \mathbb{Z}^+ and $\mathbb{Z}^+ \times \mathbb{Z}^+$ (or \mathbb{Q}^+)



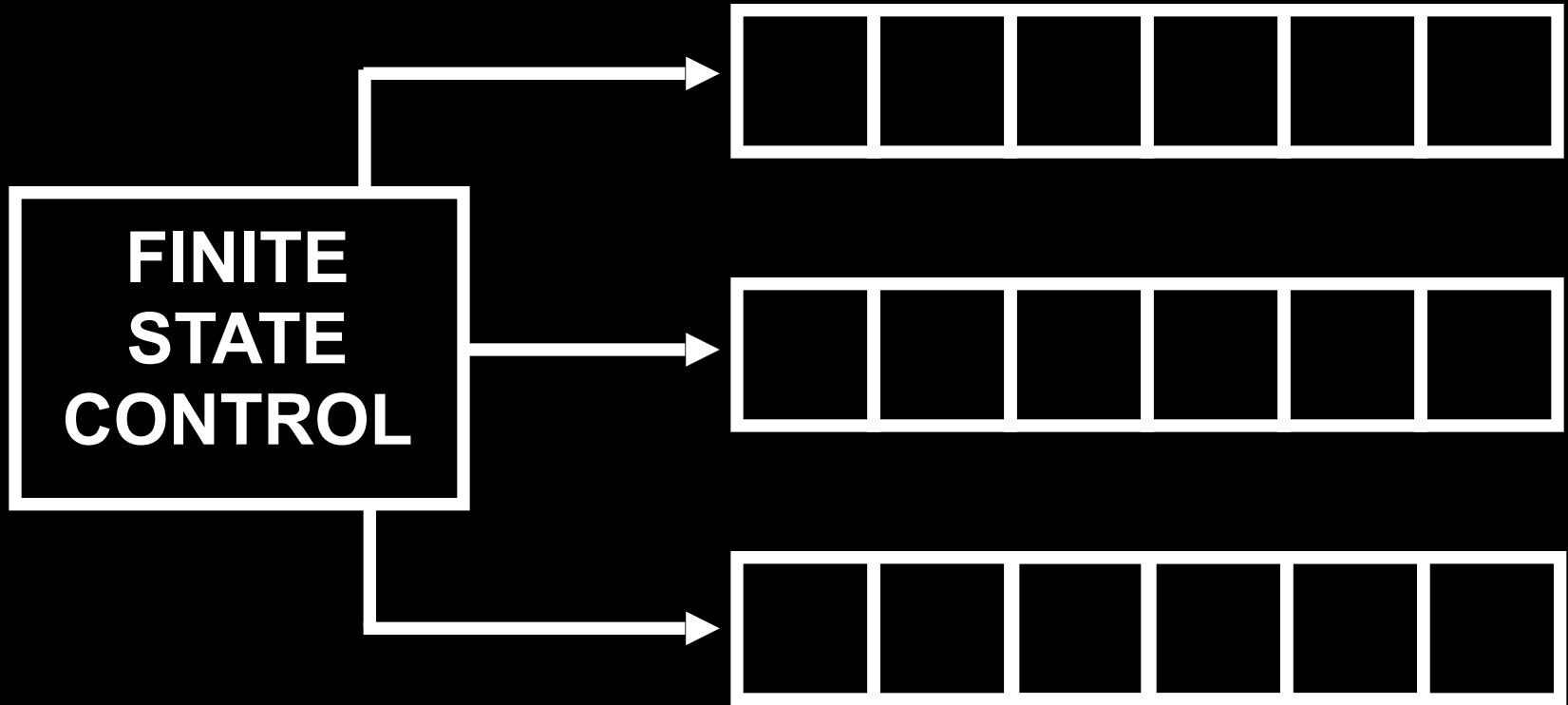


L is **decidable**
(recursive)



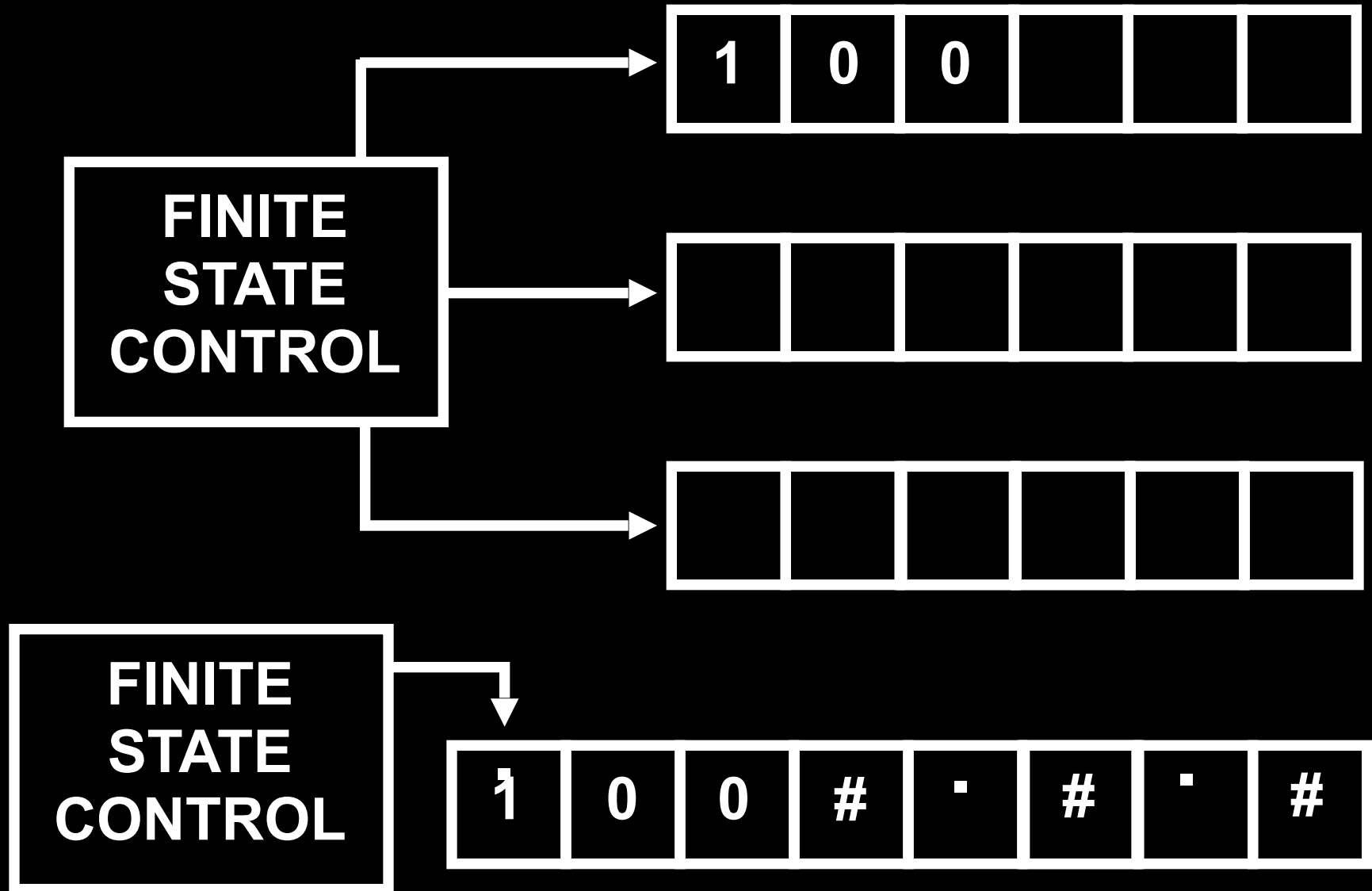
L is **semi-decidable**
(recursively enumerable,
Turing-recognizable)

MULTITAPE TURING MACHINES

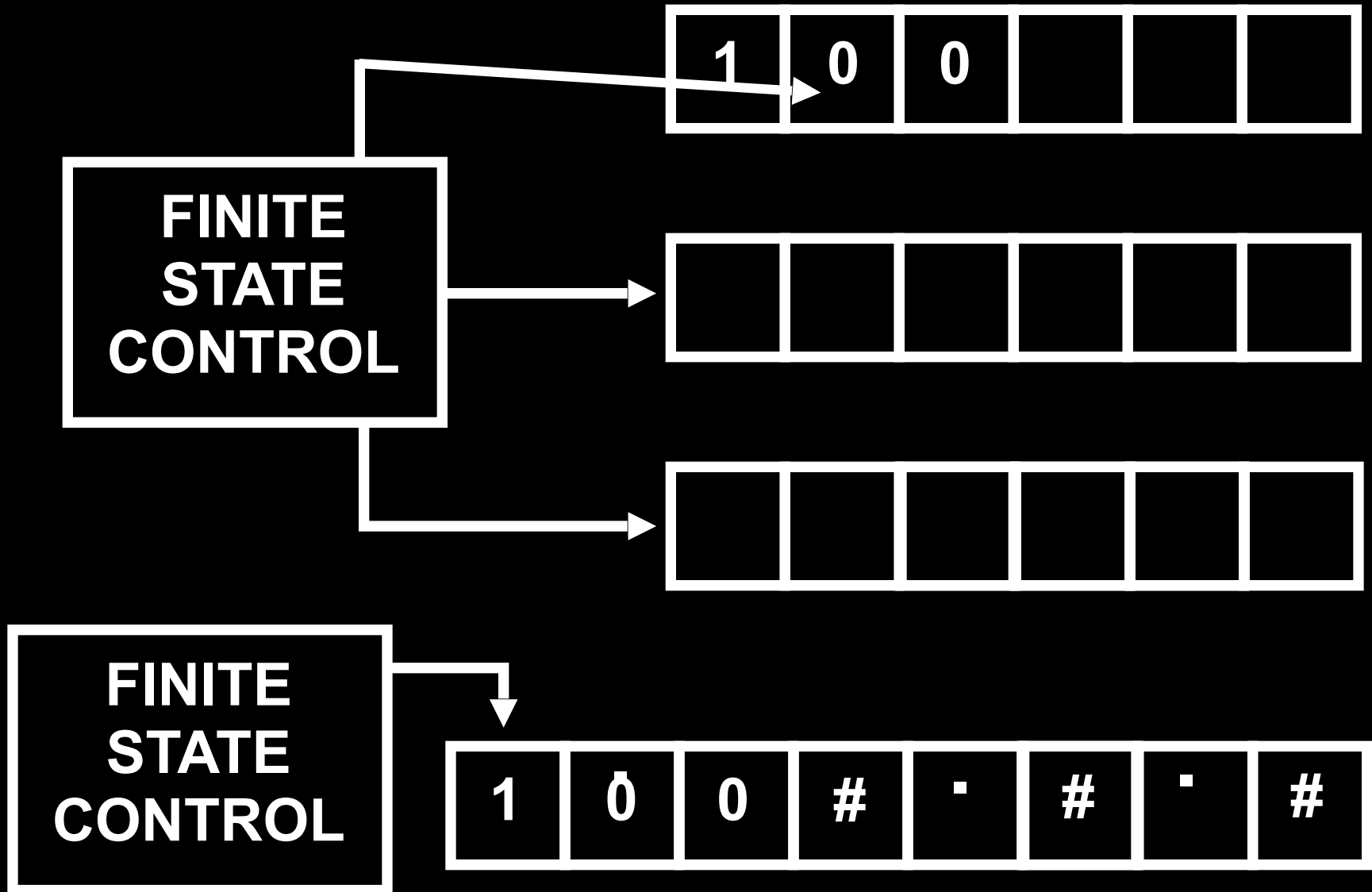


$$\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L,R\}^k$$

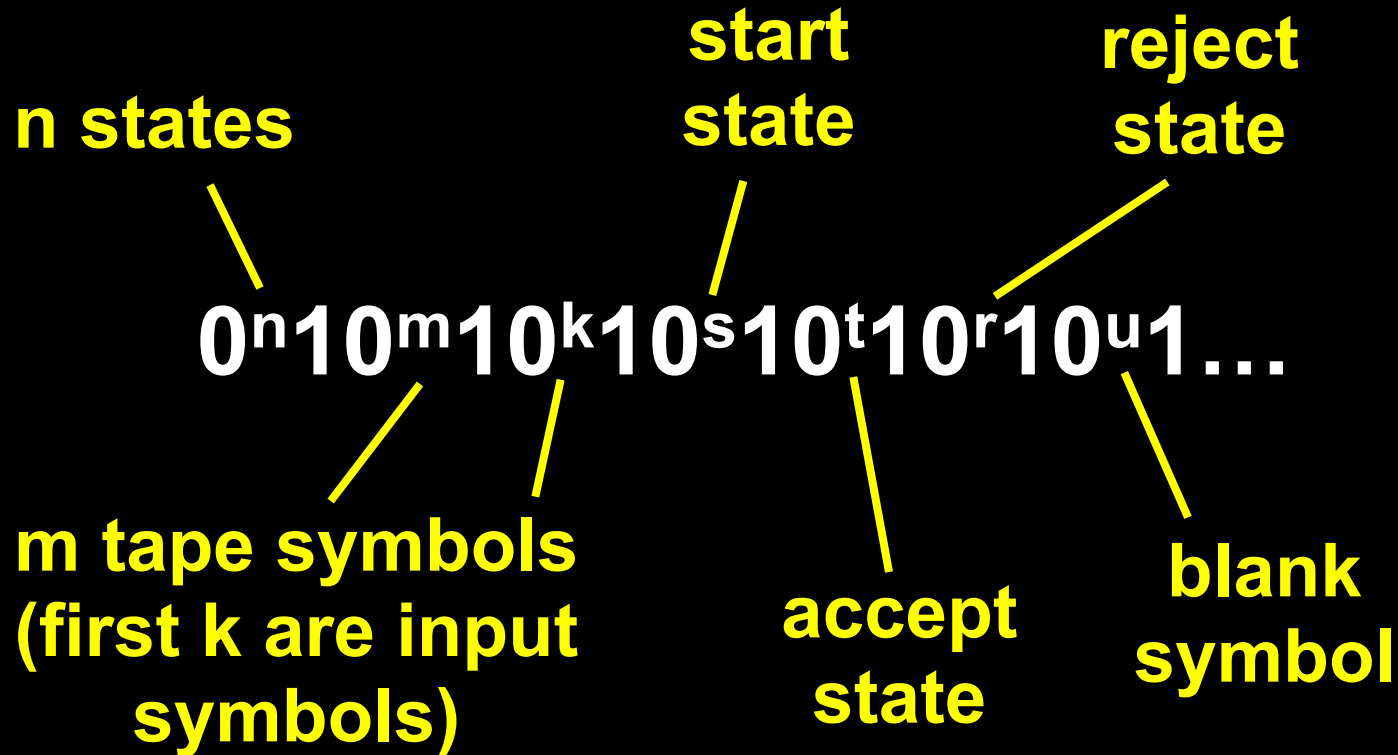
Theorem: Every Multitape Turing Machine can be transformed into a single tape Turing Machine



Theorem: Every Multitape Turing Machine can be transformed into a single tape Turing Machine



We can encode a TM as a string of 0s and 1s



$$((p, a), (q, b, L)) = 0^p 1 0^a 1 0^q 1 0^b 1 0$$

$$((p, a), (q, b, R)) = 0^p 1 0^a 1 0^q 1 0^b 1 1$$

THE CHURCH-TURING THESIS

Intuitive Notion of Algorithms

EQUALS

Turing Machines

THE ACCEPTANCE PROBLEM

$A_{TM} = \{ (M, w) \mid M \text{ is a TM that accepts string } w \}$

Theorem: A_{TM} is semi-decidable (r.e.)

but **NOT** decidable

A_{TM} is r.e. :

Define a TM **U** as follows:

On input (M, w) , **U** runs **M** on **w**. If **M** ever accepts, accept. If **M** ever rejects, reject.

NB. When we write “input (M, w) ” we really mean “input code for (code for M, w)”

Similarly, we can encode DFAs, NFAs, CFGs, etc. into strings of 0s and 1s

So we can define the following languages:

$A_{\text{DFA}} = \{ (B, w) \mid B \text{ is a DFA that accepts string } w \}$

Theorem: A_{DFA} is decidable

Proof Idea: Simulate B on w

$A_{\text{NFA}} = \{ (B, w) \mid B \text{ is an NFA that accepts string } w \}$

Theorem: A_{NFA} is decidable

$A_{\text{CFG}} = \{ (G, w) \mid G \text{ is a CFG that generates string } w \}$

Theorem: A_{CFG} is decidable

Proof Idea: Transform G into Chomsky Normal Form. Try all derivations of length up to $2|w|-1$

UNDECIDABLE PROBLEMS

THURSDAY Feb 13

There are languages over $\{0,1\}$ that are not decidable

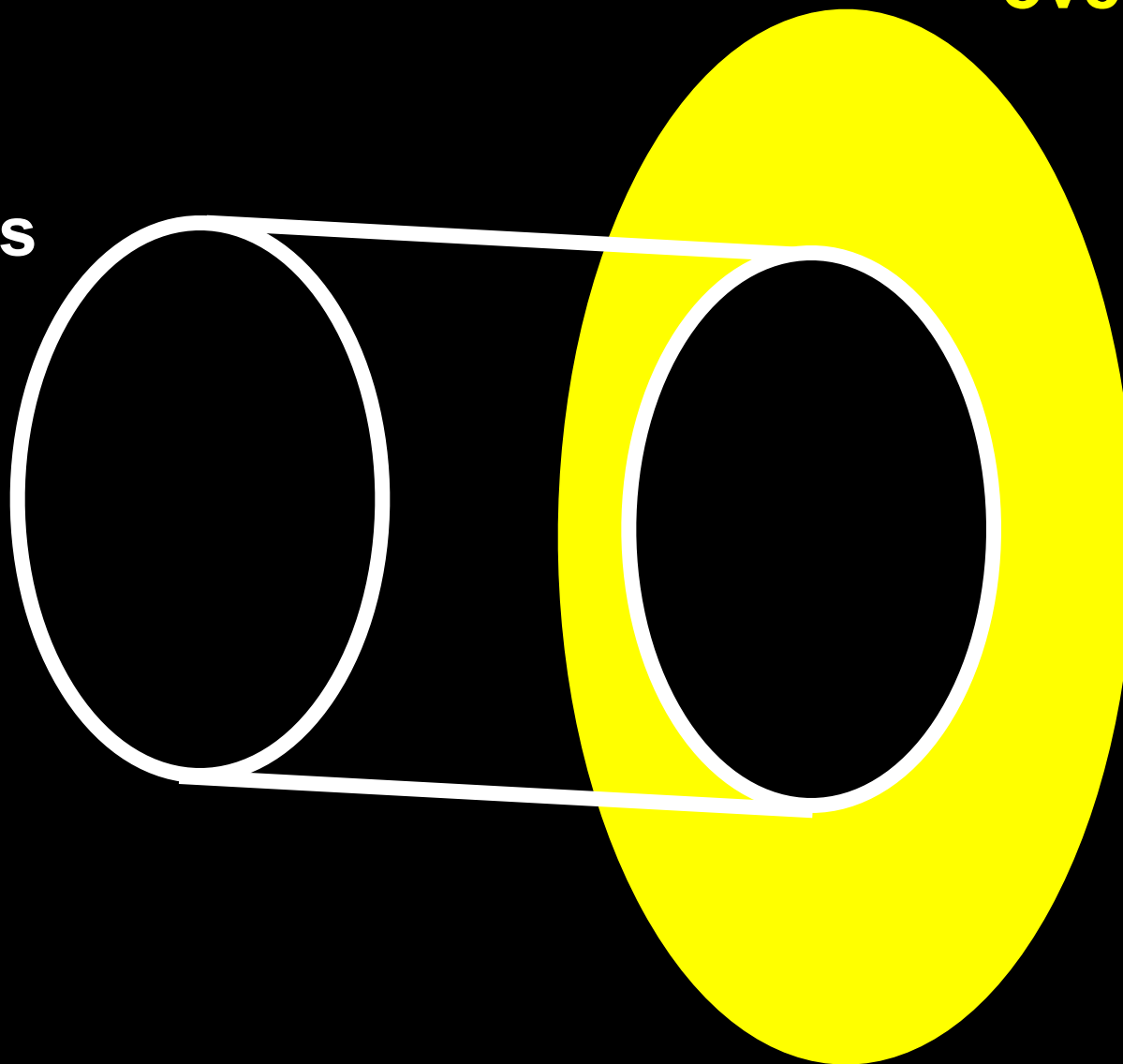
If we believe the Church-Turing Thesis, this is **MAJOR**: it means there are things that computers inherently cannot do

We can prove this using a **counting argument**. We will show there is no **onto** function from the set of all Turing Machines to the set of all languages over $\{0,1\}$. (**Works for any Σ**) Hence there are languages that have no decider.

Then we will prove something stronger: There are **semi-decidable (r.e.)** languages that are **NOT decidable**

**Turing
Machines**

**Languages
over $\{0,1\}$**



Let L be any set and 2^L be the power set of L

Theorem: There is no onto map from L to 2^L

Proof: Assume, for a contradiction, that there is an onto map $f : L \rightarrow 2^L$

Let $S = \{ x \in L \mid x \notin f(x) \}$

If $S = f(y)$ then $y \in S$ if and only if $y \notin S$

Can give a more constructive argument!

Theorem: There is no onto function from the positive integers to the real numbers in $(0, 1)$

Proof: Suppose f is any function mapping the positive integers to the real numbers in $(0, 1)$

1	→	0.28347279...
2	→	0.88388384...
3	→	0.77635284...
4	→	0.11111111...
5	→	0.12345678...
⋮		⋮

$$[n\text{-th digit of } r] = \begin{cases} 1 & \text{if } [n\text{-th digit of } f(n)] \neq 1 \\ 2 & \text{otherwise} \end{cases}$$

$f(n) \neq r$ for all n (Here, $r = 11121\dots$)

THE MORAL:

No matter what L is,

2^L *always* has more elements than L

Not all languages over $\{0,1\}$ are decidable, in fact: not all languages over $\{0,1\}$ are semi-decidable

{decidable languages over $\{0,1\}$ }

{semi-decidable languages over $\{0,1\}$ }

{Turing Machines}

{Languages over $\{0,1\}$ }

{Strings of 0s and 1s}

{Sets of strings of
0s and 1s}

Set L

Set of all subsets of L : 2^L

THE ACCEPTANCE PROBLEM

$A_{TM} = \{ (M, w) \mid M \text{ is a TM that accepts string } w \}$

Theorem: A_{TM} is semi-decidable (r.e.)

but **NOT** decidable

A_{TM} is r.e. :

Define a TM **U** as follows:

On input (M, w) , **U** runs **M** on **w**. If **M** ever accepts, accept. If **M** ever rejects, reject.

NB. When we write “input (M, w) ” we really mean “input code for (code for M, w)”

THE ACCEPTANCE PROBLEM

$A_{TM} = \{ (M, w) \mid M \text{ is a TM that accepts string } w \}$

Theorem: A_{TM} is semi-decidable (r.e.)

but **NOT** decidable

A_{TM} is r.e. :

Define a TM U as follows: U is a *universal TM*

On input (M, w) , U runs M on w . If M ever accepts, accept. If M ever rejects, reject.

Therefore,

U accepts $(M, w) \Leftrightarrow M$ accepts $w \Leftrightarrow (M, w) \in A_{TM}$

Therefore, U recognizes A_{TM}

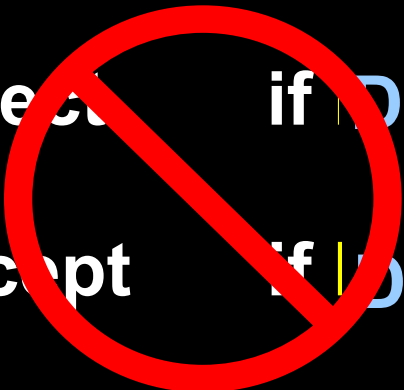
$A_{TM} = \{ (M, w) \mid M \text{ is a TM that accepts string } w \}$

A_{TM} is undecidable: (proof by contradiction)

Assume machine H decides A_{TM}

$$H((M, w)) = \begin{cases} \text{Accept} & \text{if } M \text{ accepts } w \\ \text{Reject} & \text{if } M \text{ does not accept } w \end{cases}$$

Construct a new TM D as follows: on input M , run H on (M, M) and output the opposite of H

$$D(D) = \begin{cases} \text{Reject} & \text{if } D \text{ accepts } D \\ \text{Accept} & \text{if } D \text{ does not accept } D \end{cases}$$


OUTPUT OF H

	M_1	M_2	M_3	$M_4 \dots$	D
M_1	accept	accept	accept	reject	accept
M_2	reject	accept	reject	reject	reject
M_3	accept	reject	reject	accept	accept
M_4	accept	reject	reject	reject	accept
:					
D	reject	reject	accept	accept	?

Theorem: A_{TM} is r.e. but NOT decidable

Cor: $\neg A_{TM}$ is not even r.e.!

$A_{TM} = \{ (M, w) \mid M \text{ is a TM that accepts string } w \}$

A_{TM} is undecidable: A constructive proof:

Let machine H semi-decides A_{TM} (Such \exists , why?)

$$H((M, w)) = \begin{cases} \text{Accept} & \text{if } M \text{ accepts } w \\ \text{Reject or} \\ \text{No output} & \text{if } M \text{ does not accept } w \end{cases}$$

Construct a new TM D as follows: on input M , run H on (M, M) and output

$$D(D) = \begin{cases} \text{Reject} & \text{if } H(D, D) \text{ Accepts} \\ \text{Accept} & \text{if } H(D, D) \text{ Rejects} \\ \text{No output} & \text{if } H(D, D) \text{ has No output} \end{cases}$$

$H((D, D)) = \text{No output}$ **No Contradictions !**

We have shown:

Given any **machine H for semi-deciding** A_{TM} , we can *effectively construct* a TM **D** such that $(D,D) \notin A_{TM}$ but **H fails** to tell us that.

That is, **H fails** to be a decider on instance (D,D) .

In other words,

Given any “good” candidate for deciding the **Acceptance Problem**, we can effectively construct an instance where the candidate fails.

THE classical HALTING PROBLEM

$\text{HALT}_{\text{TM}} = \{ (M, w) \mid M \text{ is a TM that halts on string } w \}$

Theorem: HALT_{TM} is undecidable

Proof: Assume, for a contradiction, that TM **H** decides HALT_{TM}

We use **H** to construct a TM **D** that decides A_{TM}

On input (M, w) , **D** runs **H** on (M, w) :

If **H** rejects then reject

If **H** accepts, run **M on w** until it halts:

Accept if **M** accepts, ie halts in an accept state

Otherwise reject

(M, w)



D

(M, w)



H

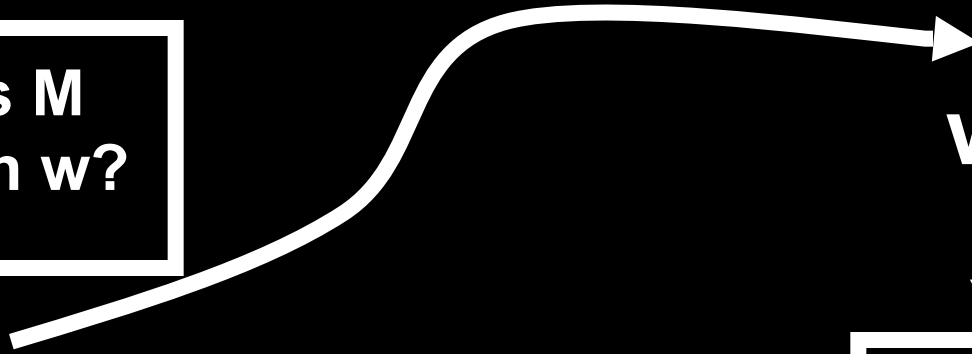


Does M
halt on w?

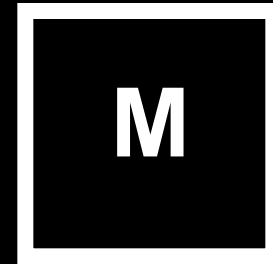


If M doesn't
halt: **REJECT**

If M halts



w



M



ACCEPT if halts in accept state
REJECT otherwise

In many cases, one can show that a language L is undecidable by showing that if it is decidable, then so is A_{TM}

We **reduce** deciding A_{TM} to deciding the language in question

$$A_{TM} \leq L$$

We just showed: $A_{TM} \leq \text{Halt}_{TM}$

Is $\text{Halt}_{TM} \leq A_{TM}$?