

# COMPUTABILITY AND EFFICIENCY IN LEARNING AN OCKHAM'S RAZOR ACCOUNT

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EPISTEMIC SPACES

LEARNING AND LEARNABILITY

COMPUTATIONAL ASSUMPTIONS

OCKHAM'S RAZOR & CONCLUSIVE LEARNING

OCKHAM'S RAZOR & LIMITING LEARNING

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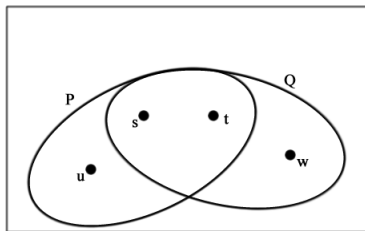
OCKHAM'S RAZOR & LIMITING LEARNING

# EPISTEMIC SPACES

An agent's uncertainty is represented by an epistemic space  $(S, \Phi)$ , where:

- ▶  $S = \{s_0, s_1, \dots\}$  of epistemic possibilities, or possible worlds, and
- ▶  $\Phi \subseteq \mathcal{P}(S)$  a family of propositions.

$\Phi$  represent facts or observables being true or false in possible worlds.

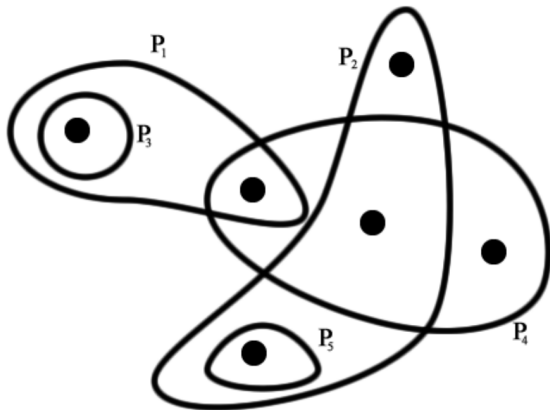


success of learning  $\sim$  converging to **the truth**

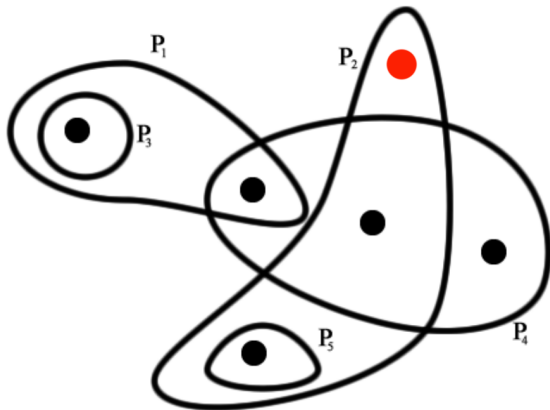
success of learning  $\sim$  converging to **the truth**

- ▶ with certainty
- ▶ in the limit
- ▶ gradually
- ▶ ...

# LEARNING AIMS AT RESOLVING UNCERTAINTY

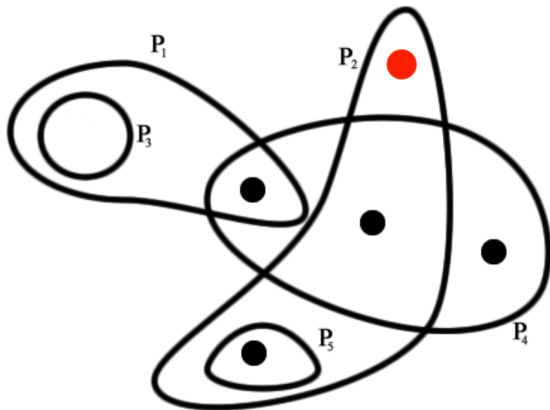


# LEARNING VIA UPDATE

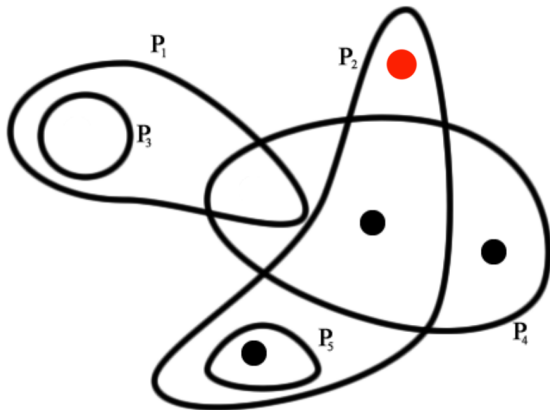




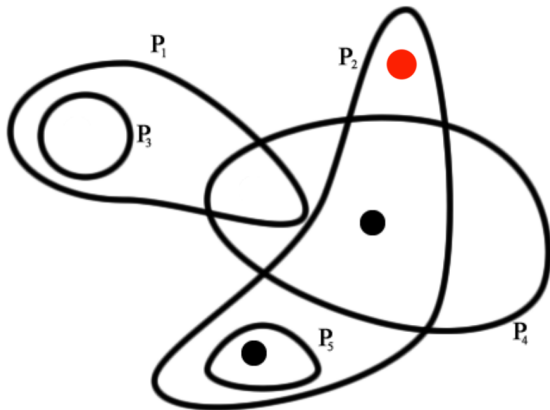
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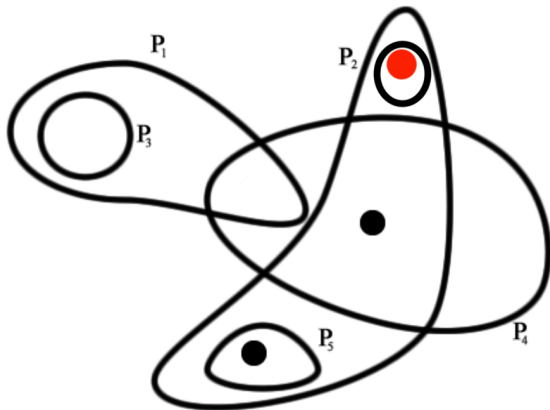
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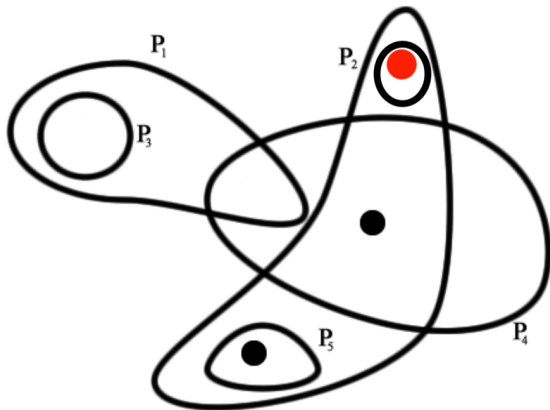
# LEARNING VIA UPDATE: AND WHAT NOW?



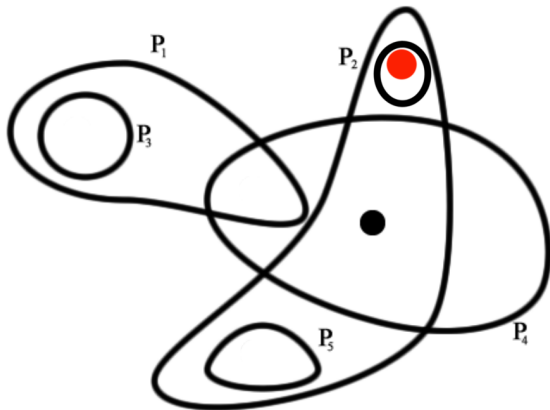
# LEARNING VIA UPDATE: TOWARDS CERTAINTY



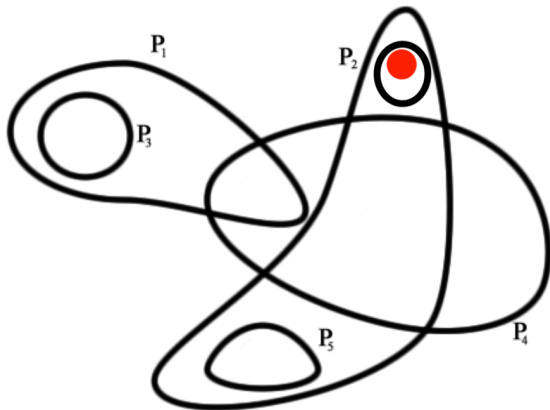
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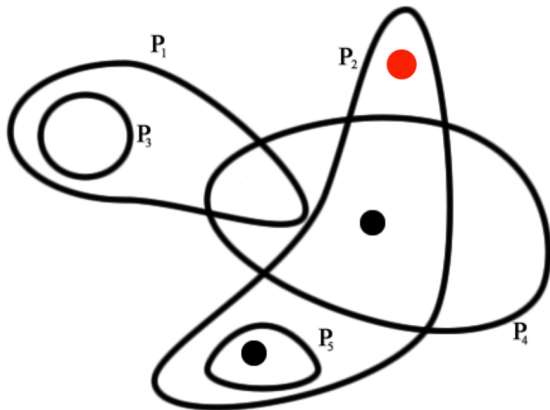
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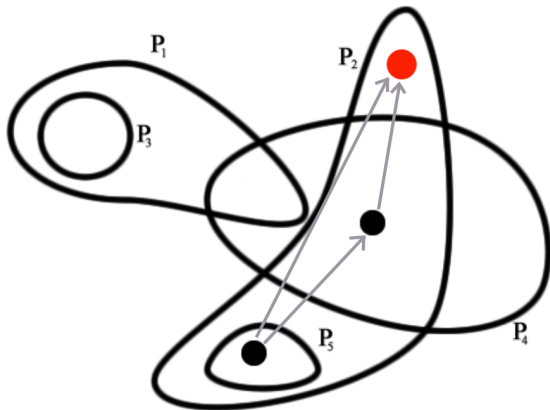


# LEARNING VIA UPDATE: TOWARDS STABLE TRUE BELIEF





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OCKHAM'S RAZOR & LIMITING LEARNING

## EPISTEMIC SPACES AND LEARNING

- ▶ Learner  $L$  receives information about a possible world (the actual one).
- ▶ The information is an open-ended (infinite) sequence of propositions.
- ▶ Data stream  $\varepsilon = (\varepsilon_1, \varepsilon_2 \dots)$  is a data stream for  $s \in S$  just in case

$$\{\varepsilon_n \mid n \in \mathbb{N}\} = \{p \in \Phi : s \in p\}.$$

- ▶ We write  $\varepsilon \upharpoonright n$  for the sequence  $(\varepsilon_1, \dots, \varepsilon_n)$ .
- ▶ Learner  $L$  is a function that on input of an epistemic space  $(S, \Phi)$  and a finite sequence of observations  $\sigma = (\sigma_0, \dots, \sigma_n)$  outputs a hypothesis, i.e.,

$$L((S, \Phi), \sigma) \subseteq S.$$

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# COMPUTATIONAL ASSUMPTION ABOUT EPISTEMIC SPACES

## DEFINITION

Learner  $L : \mathbb{N}^* \rightarrow \mathbb{N}$  is a **computable** function.

## DEFINITION

An epistemic space  $(S, \Phi)$ ,  $S = \{s_0, s_1, s_2, \dots\}$ , and  $\Phi = \{p_0, p_1, p_2, \dots\}$ , is **uniformly decidable** just in case there is a computable function  $f : S \times \Phi \rightarrow \{0, 1\}$  such that:

$$f(s, p) = \begin{cases} 1 & \text{if } s \in p, \\ 0 & \text{if } s \notin p. \end{cases}$$

# UNIFORM DECIDABILITY AND AGENCY

- ▶ In epistemic logic uniform decidability is guaranteed by finiteness.
- ▶ However the problem is non-trivial, e.g., in scientific scenarios.
- ▶ Epistemic space represents the uncertainty of a TM-representable mind.
- ▶ Subjective perspective on problem posing.
- ▶ Simple and appealing condition vs properties of convergence to knowledge.

## SOME TYPES OF LEARNABILITY

---

$(S, \Phi)$

---

$s_1 : p_1, p_3, p_4$

$s_2 : p_2, p_4, p_5$

$s_3 : p_1, p_3, p_5$

$s_4 : p_4, p_6$

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$(S', \Phi')$

---

$t_1 : p_1, p_3, p_4$

$t_2 : p_2, p_4, p_5$

$t_3 : p_1, p_3, p_5$

$t_4 : p_1, p_3, p_4, p_6$

---



## SOME TYPES OF LEARNABILITY

---

 $(S, \Phi)$ 

---

 $s_1 : 1, 3, 4$  $s_2 : 2, 4, 5$  $s_3 : 1, 3, 5$  $s_4 : 4, 6$ 

---

---

 $(S', \Phi')$ 

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 $t_1 : 1, 3, 4$  $t_2 : 2, 4, 5$  $t_3 : 1, 3, 5$  $t_4 : 1, 3, 4, 6$ 

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$(S, \Phi)$

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---

---

$(S, \Phi)$

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$s_1 : 1, 3, 4$

$s_2 : 2, 4, 5$

$s_3 : 1, 3, 5$

$s_4 : 4, 6$

---

## Conclusive Learnability

- ▶ Certainty in finite time.
- ▶ Only one answer,
- ▶ based on certainty.
- ▶ No chance to change later.

## SOME TYPES OF LEARNABILITY

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$t_1 : 1, 3, 4$

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$t_3 : 1, 3, 5$

$t_4 : 1, 3, 4, 6$

---

## Limiting Learnability

- ▶ No certainty.
- ▶ Sequence of answers,
- ▶ based on reliability.
- ▶ Always a chance to change.

---

 $(S', \Phi')$ 

---

 $t_1 : 1, 3, 4$  $t_2 : 2, 4, 5$  $t_3 : 1, 3, 5$  $t_4 : 1, 3, 4, 6$ 

---

# CONCLUSIVE VS LIMITING LEARNING

## Limiting Learnability

- ▶ No certainty.
- ▶ Sequence of answers,
- ▶ based on reliability.
- ▶ Always a chance to change.

## Conclusive Learnability

- ▶ Certainty in finite time.
- ▶ Only one answer,
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- ▶ No chance to change later.

# LEARNING AND OCKHAM'S RAZOR: ASAP

Search for a notion of simplicity that would guarantee that

*always choosing the simplest theory compatible with experience and **hanging on to it** while it remains the simplest is both necessary and sufficient for efficiency of inquiry*

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*always choosing the simplest theory compatible with experience and **hanging on to it** while it remains the simplest is both necessary and sufficient for efficiency of inquiry*

Efficient Inquiry → Efficient Conjecturing → Solution A.S.A.P.

- ▶ Conclusive Learning → Fastest Learning
- ▶ Limiting Learning → Conservative Learning



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## CONCLUSIVE LEARNABILITY: ONCE-DEFINEDNESS

The range of learning function  $L$  is extended by ? (“I do not know”).

### DEFINITION

Learning function  $L$  is once defined on  $(S, \Phi)$  iff for any stream  $\varepsilon$  for any world in  $S$  there is exactly one  $n \in \mathbb{N}$  such that  $L(\varepsilon \upharpoonright n)$  is not an ?-answer.

# CONCLUSIVE LEARNABILITY: DEFINITION

## DEFINITION

Take an epistemic space  $(S, \Phi)$ .

- ▶ A world  $s_m \in S$  is **conclusively learnable in a computable way** by a function  $L$  if  $L$  is computable, once-defined, and for every data stream  $\varepsilon$  for  $s_m$ , there exists a finite stage  $k$  such that  $L((S, \Phi), \varepsilon_0, \dots, \varepsilon_k) = \{s_m\}$ .

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- ▶ The epistemic space  $(S, \Phi)$  is said to be conclusively learnable in an computable way by  $L$  if  $L$  is computable and all its worlds in  $S$  are conclusively learnable in an computable way by  $L$ .
- ▶ Finally, the epistemic space  $(S, \Phi)$  is conclusively learnable in an computable way just in case there is a computable learning function that can conclusively learn it.

# CONCLUSIVE LEARNABILITY: CHARACTERIZATION

## DEFINITION

Take  $(S, \Phi)$ . A set  $D_i \subseteq \Phi$  is a definite finite tell-tale set (DFTT) for  $s_i$  in  $S$  if:

1.  $D_i$  is finite,
2.  $s_i \in \bigcap D_i$ , and
3. for any  $s_j \in S$ , if  $s_j \in \bigcap D_i$  then  $s_i = s_j$ .

## THEOREM (MUKOUCHI 82, LANGE & ZEUGMANN 82)

$(S, \Phi)$  is conclusively learnable in an computable way just in case there is a computable function  $f : S \rightarrow \mathcal{P}^{<\omega}(\Phi)$  s.t.  $f(s)$  is a DFTT for  $s$ .

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a world is conclusively learnable

if it makes true a finite conjunction of propositions

that together is false everywhere else

# ELIMINATIVE POWER

## DEFINITION

Take  $(S, \Phi)$  and  $x \in \Phi$ . The eliminative power of  $x$  with respect to  $(S, \Phi)$  is determined by a function  $El_{(S, \Phi)} : \Phi \rightarrow \mathcal{P}(\mathbb{N})$ , such that:

$$El_{(S, \Phi)}(x) = \{i \mid s_i \notin x \ \& \ s_i \text{ in } S\}.$$

Additionally, for  $X \subseteq \Phi$  we write  $El_{(S, \Phi)}(X)$  for  $\bigcup_{x \in X} El_{(S, \Phi)}(x)$ .

eliminative power of a proposition is the complement of its extension

## DEFINITION (FIN-ID PROBLEM)

**Instance:** A finite epistemic space  $(S, \Phi)$ , a world  $s_i$  in  $S$ .

**Question:** Is  $s_i$  conclusively learnable within  $(S, \Phi)$ ?

## THEOREM

FIN-ID *Problem is in P.*



## MINIMALITY OF DFTT'S: TWO KINDS

set	a minimal DFTT	minimal-size DFTTs
$\{5, 7, 8\}$	$\{7, 8\}$	$\{5, 8\}$ or $\{7, 8\}$
$\{6, 8, 9\}$	$\{8, 9\}$	$\{6\}$
$\{5, 7, 9\}$	$\{7, 9\}$	$\{5, 9\}$ or $\{7, 9\}$
$\{8, 10\}$	$\{10\}$	$\{10\}$

# MINIMALITY OF DFTT'S: COMPLEXITY

finding a minimal DFTT is easy

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## PROPOSITION

*Let  $(S, \Phi)$  be a conclusively learnable finite epistemic space. Finding a minimal DFTT of  $s_i$  in  $(S, \Phi)$  can be done in polynomial time w.r.t.  $\text{card}(\{x | s_i \in x\})$ .*

finding a minimal-size DFTT is (most probably) harder

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### DEFINITION (MIN-SIZE DFTT PROBLEM)

**Instance:**  $(S, \Phi)$ ,  $s_i \in S$ , and  $k \leq \text{card}(\{p | s_i \in p\})$ .

**Question:** Is there a DFTT  $X_i$  of  $s_i$  of size  $\leq k$ ?

### THEOREM

*The MIN-SIZE DFTT Problem is NP-complete.*

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### THEOREM

*The MIN-SIZE DFTT Problem is NP-complete.*

teaching efficiently might be hard

Learners taking a more prescribed course of action by basing their conjectures on symptoms (DFTTs).

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Learners taking a more prescribed course of action  
by basing their conjectures on symptoms (DFTTs).



Objection: infinite collections of DFTTs.

Solution:  $f_{dftt}$ , which for a finite  $X$  and  $s_i$  says if  $X$  is a DFTT of  $s_i$ .

If  $(S, \Phi)$  is conclusively learnable  
then there is  $f_{dftt}$  that for each world recognizes at least one DFTT.

## PRESET LEARNING: SOME THEOREMS

1. conclusive learnability = preset conclusive learnability
2. preset learners are exactly those that react solely to the content

Fastest learner:

conclusively learns a world  $s_i$  as soon as objective ‘ambiguity’ disappears;  
settles on the right world as soon as **any** DFTT for it has been given.

## DEFINITION

$(S, \Phi)$  is *conclusively learnable in the fastest way* if and only if there is a learning function  $L$  such that, for each  $\varepsilon$  and for each  $i \in \mathbb{N}$ ,

$$L(\varepsilon \upharpoonright n) = i \quad \text{iff} \quad \begin{aligned} &\exists D_i^j \in \mathbb{D}_i \ (D_i^j \subseteq \text{set}(\varepsilon \upharpoonright n)) \ \& \\ &\neg \exists D_i^k \in \mathbb{D}_i \ (D_i^k \subseteq \text{set}(\varepsilon \upharpoonright n - 1)). \end{aligned}$$

Such  $L$  is a *fastest learning function*.

# FASTEST LEARNING: MAIN RESULT

## THEOREM

*There is a uniformly decidable epistemic space that is conclusively learnable, but is not conclusively learnable in the fastest way.*

fastest conclusive learnability is properly included in conclusive learnability

## DEFINITION (SMULLYAN 1958)

Let  $A, B \subset \mathbb{N}$ . A separating set is  $C \subset \mathbb{N}$  such that  $A \subset C$  and  $B \cap C = \emptyset$ . In particular, if  $A$  and  $B$  are disjoint then  $A$  itself is a separating set for the pair, as is  $B$ . If a pair of disjoint sets  $A$  and  $B$  has no computable separating set, then the two sets are **computably inseparable**.

Let  $A$  and  $B$  be two disjoint r.e. computably inseparable sets, such that:

- ▶  $x \in A$  iff  $\exists y Rxy$  with  $R$  computable, and
- ▶  $x \in B$  iff  $\exists y Sxy$  with  $S$  computable.

For each  $x$  there is at most one  $y$ , s.t.  $Rxy$  and at most one  $y$ , s.t.  $Sxy$ .

We define  $(S_i)_{i \in \mathbb{N}}$ :

$$S_i = \{2i, 2i + 1\} \cup \{2j \mid Rji\} \cup \{2j + 1 \mid Sji\}.$$

## PROOF: ILLUSTRATION

$S_0 : p_0, p_1$

$S_1 : p_2, p_3$

$S_2 : p_4, p_5$

$S_3 : p_6, p_7$

$\vdots$

$S_i : p_{2i}, p_{2i+1}$

$\vdots$

?



The idea is that  $S_i = \{2i, 2i + 1\}$  except that, for some  $m$ ,  $Rim$  or  $Sim$  may be true, and then  $2i \in S_m$  or  $2i + 1 \in S_m$ , respectively.

Note that:

- ▶ There can be at most one such  $m$ , and for that  $m$  only one of  $Rim$  or  $Sim$  can be true.
- ▶ Since  $A$  and  $B$  are computably inseparable there is no computable  $f$  that makes the choice for each  $i$ .
- ▶ Except for such intruders the languages are disjoint.

The argument:

- ▶  $\{2i, 2i + 1\}$  is a DFTT for  $S_i$ .
- ▶ But,  $\{2i + 1\}$  is a DFTT for  $S_i$  if  $i \notin B$ , and  $\{2i\}$  is a DFTT for  $S_i$  if  $i \notin A$ .
- ▶ However, a computable function that would give the minimal DFTTs of  $S_i$  gives a computable separating set of  $A$  and  $B$ .
- ▶ And this is impossible, since  $A$  and  $B$  are computably inseparable.

So there cannot be a computable fastest learner!



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# LIMITING LEARNABILITY: DEFINITION

## DEFINITION

Take an epistemic space  $(S, \Phi)$ .

- ▶ A world  $s_m \in S$  is **limiting learnable in a computable way** by a function  $L$  if  $L$  is computable, and for every data stream  $\varepsilon$  for  $s_m$ , there exists a finite stage  $n$  such that for all  $k > n$ ,  $L((S, \Phi), \varepsilon_0, \dots, \varepsilon_k) = \{s_m\}$ .

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- ▶ The epistemic space  $(S, \Phi)$  is said to be limiting learnable in an computable way by  $L$  if  $L$  is computable and all its worlds in  $S$  are limiting learnable in an computable way by  $L$ .
- ▶ Finally, the epistemic space  $(S, \Phi)$  is limiting learnable in an computable way just in case there is a computable learning function that can limiting learn it.

## DEFINITION

A learner  $L$  is **conservative** if, for each sequence  $\sigma$  and  $x$

$$L(\sigma) \in \bigcap \text{content}(\sigma^\wedge \langle x \rangle) \text{ implies } L(\sigma^\wedge \langle x \rangle) = L(\sigma).$$

## THEOREM

*There is a uniformly decidable  $(S, \Phi)$  that is computably limiting learnable, but not by a computable conservative learner.*

# RESTRICTIVENESS OF CONSERVATIVITY: PROOF, PART 1

0	$\varphi_0$	$s_0 = \{\langle 0, 1 \rangle, \langle 0, 2 \rangle, \langle 0, 3 \rangle, \dots\}$	$s'_0 = \{\langle 0, 1 \rangle\}$ or $\{\langle 0, 1 \rangle, \dots, \langle 0, n \rangle\}$
1	$\varphi_1$	$s_1 = \{\langle 1, 1 \rangle, \langle 1, 2 \rangle, \langle 1, 3 \rangle, \dots\}$	$s'_1 = \{\langle 1, 1 \rangle\}$ or $\{\langle 1, 1 \rangle, \dots, \langle 1, n \rangle\}$
2	$\varphi_2$	$s_2 = \{\langle 2, 1 \rangle, \langle 2, 2 \rangle, \langle 2, 3 \rangle, \dots\}$	$s'_2 = \{\langle 2, 1 \rangle\}$ or $\{\langle 2, 1 \rangle, \dots, \langle 2, n \rangle\}$
3	$\varphi_3$	$s_3 = \{\langle 3, 1 \rangle, \langle 3, 2 \rangle, \langle 3, 3 \rangle, \dots\}$	$s'_3 = \{\langle 3, 1 \rangle\}$ or $\{\langle 3, 1 \rangle, \dots, \langle 3, n \rangle\}$
...	...	...	...

Take  $j \in \mathbb{N}$ ,  $s_j \in S$ , and  $\varphi_j$ . Think of  $\varphi_j$  as of a (not necessarily successful) learner. Take a text for  $s_j$ ,  $t^j = \langle j, 0 \rangle, \langle j, 1 \rangle, \langle j, 2 \rangle, \langle j, 3 \rangle, \dots$ . If  $\varphi_j$  happens to identify  $s_j$ , then on some  $t^j \upharpoonright n + 1$ ,  $\varphi_j$  will output  $j$  (obviously, if  $\varphi_j$  does not identify  $s_j$ , this does not have to happen).

$$s'_j = \begin{cases} \{\langle j, 0 \rangle, \dots, \langle j, n \rangle\} & \text{where } \langle n, k \rangle \text{ are the smallest s.t.} \\ & \{\langle j, 0 \rangle, \dots, \langle j, n \rangle\} \subset W_{\varphi_j^k(t^j \upharpoonright n+1, k)}; \\ \{\langle j, 0 \rangle\} & \text{if such a pair does not exist.} \end{cases}$$

Assume, towards contradiction, that conservative learner  $L$  learns  $(S, \Phi)$  in the limit.  $L$  is in fact  $\varphi_j$  for some  $j \in \mathbb{N}$  and it identifies  $s_j \in S$ . Take  $t^j = \langle j, 0 \rangle, \langle j, 1 \rangle, \langle j, 2 \rangle, \langle j, 3 \rangle, \dots$ , then there will be  $s'_j = \{\langle j, 0 \rangle, \dots, \langle j, n \rangle\}$  in  $S$ . Take the text  $\langle j, 0 \rangle, \dots, \langle j, n \rangle, \langle j, n \rangle, \langle j, n \rangle, \dots$  for  $s'_j$ . On the first occurrence of  $\langle j, n \rangle$ ,  $\varphi_j$  will output  $i$  for  $s_j$ , and since the rest does not contradict  $s_j$ ,  $\varphi_j$  will not retract (because it is conservative). Hence,  $\varphi_j$  will not identify  $s'_j$ . Contradiction.

## RESTRICTIVENESS OF CONSERVATIVITY: PROOF, PART 2

It remains to be shown that  $(S, \Phi)$  is limit learnable by a computable  $L$ . Depending on the first element seen by  $L$ :

1.  $\langle j, m \rangle$ , with  $m \neq 0$ , then  $L$  will output an index of  $s_j$  on any sequence  $\sigma$  extending  $\langle j, m \rangle$ , unless it is the case that  $\{\langle j, 0 \rangle, \dots, \langle j, n \rangle\} \subset W_{\varphi_j^k(tj \upharpoonright_{n+1}, k)}$  for some  $\langle n, k \rangle \leq lh(\sigma)$ . If it is so, it can be determined if all elements of  $\sigma$  are members of  $s'_j$  (since both  $\sigma$  and  $s'_j$  are finite). If that is the case  $L$  outputs  $s'_j$  and continues doing so as long as all the elements of the input sequence are elements of  $s'_j$ . If that is not the case  $L$  switches back to  $s_j$ .
2.  $\langle j, 0 \rangle$ , then  $L$  conjectures  $s'_j$  as long as  $\langle j, 0 \rangle$  is the only pair seen, otherwise  $L$  switches to  $s_j$  and continues according to the behavior described before.

# CONCLUSIONS

- ▶ Complexity of learning/teaching strategies in conclusive learning.
- ▶ Complexity of min-DFTT and min-size DFTT related concepts.
- ▶ The notion of preset learner in conclusive learning.
- ▶ Fastest learning is restrictive wrt to conclusive learnability.
- ▶ Conservative learning is restrictive wrt to limiting learnability

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even if computable convergence to certainty or safe belief is possible  
it may not be computably reachable just when objective ambiguity disappears  
or when the learner is conservative in his mind changes

**BR** intuitive, determinate manners of updating models

**FLT** no prescribed ways of learning but often restricted by computability

Compare the two aspects: determinateness and computability.

Thank you!



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