# Randomized Approximation Algorithms for Query Optimization Problems on Two Processors * 

Eduardo Laber<br>PUC-RIO<br>laber@inf.puc-rio.br

Ojas Parekh<br>Carnegie Mellon University<br>odp@andrew.cmu.edu

R. Ravi

Carnegie Mellon University
Carnegie Mellon University ravi@andrew.cmu.edu


#### Abstract

Query optimization problems for expensive predicates have received much attention in the database community. In these situations, the output to the database query is a set of tuples that obey certain conditions, where the conditions may be expensive to evaluate computationally. In the simplest case when the query looks for the set of tuples that simultaneously satisfy two expensive conditions on the tuples and these can be checked in two different distributed processors, the problem reduces to one of ordering the condition evaluations at each processor to minimize the time to output all the tuples that are answers to the query.

We improve upon a previously known deterministic 3-approximation for this problem: In the case when the times to evaluate all conditions at both processors are identical, we give a 2-approximation; In the case of non-uniform evaluation times, we present a $\frac{8}{3}$-approximation that uses randomization. While it was known earlier that no deterministic algorithm (even with exponential running time) can achieve a performance ratio better than 2 , we show a corresponding lower bound of $\frac{3}{2}$ for any randomized algorithm.


## 1 Introduction

The main goal of query optimization in databases is to determine how a query over a database must be processed in order to minimize the user response time. A typical query extracts the tuples in a relational database that satisfy a set of conditions (predicates in database terminology). For example, consider the set of tuples $\left\{\left(a_{1}, b_{1}\right),\left(a_{1}, b_{2}\right),\left(a_{1}, b_{3}\right),\left(a_{2}, b_{1}\right)\right\}$ and a query which seeks to extract the subset of tuples $\left(a_{i}, b_{j}\right)$ for which $a_{i}$ has property $p(a)$ and $b_{j}$ has property $p(b)$. In many scenarios, the time to evaluate the property $p(x)$ for element $x$ in the tuple can be assumed to be constant (i.e., $O(1))$ and hence the solution involves scanning through all the tuples in turn and checking for the properties. However, in the case of evaluating expensive predicates, when a relation contains complex data as images and tables, this assumption is not necessarily true. In fact, image processing and table manipulation may be very time consuming. In this case, the time spent by those operations must be taken into account when designing a query optimization algorithm. There is some work in this direction $[1,2,3,5,7,8]$. In [7], it is proposed the Cherry Picking (CP) approach which reduces the dynamic query evaluation problem to DBOP (Dynamic Bipartite Ordering Problem), a graph optimization problem, which is the focus of our improvement in this paper.

[^0]
### 1.1 Problem Statement

An instance of DBOP consists of a weighted bipartite graph $G=(V, E)$ with bipartition $(A, B)$ and a hidden function $\delta: V \rightarrow\{0,1\}$. The weight $w(v)$ of a node $v \in V$ is the estimated time required to evaluate the function $\delta$ over $v$. The goal is to compute as fast as possible the function $\gamma: E \rightarrow\{0,1\}$ defined by $\gamma(u, v)=\delta(u) \times \delta(v)$. The only allowed operation is to evaluate the function $\delta$ over a node. In this formulation, note that sets $A$ and $B$ correspond to different attributes of the relation that is queried - the nodes correspond to distinct attribute values and the edges to tuples in the relation. Figure 1(a) shows a graph corresponding to the set of tuples $\left\{\left(a_{1}, b_{1}\right),\left(a_{1}, b_{2}\right),\left(a_{1}, b_{3}\right),\left(a_{2}, b_{1}\right)\right\}$.

As in [7], we assume a distributed scenario with two available processors: $D_{1}$ and $D_{2}$ that are used to evaluate the function $\delta$ over the nodes of $A$ and $B$ respectively. The goal is to minimize some sort of "makespan," that is, the maximum time by which both $D_{1}$ and $D_{2}$ finish all their evaluations of $\delta$ so as to determine all the answers to the given query. Figure 1(b) shows an instance for DBOP . The value inside each node indicates the value of the hidden function $\delta$. Assume that $w(a)=1$ for every $a \in A$ and $w(b)=3$ for every $b \in B$. In this case, a greedy algorithm that evaluates the nodes with largest degree first has makespan 6 since $D_{1}$ evaluates $a_{1}$ followed by $a_{2}$ and $a_{4}$, while $D_{2}$ evaluates $b_{1}$ followed by $b_{4}$. Note that after these evaluations, given the shown $\delta$ values, we can conclude that we have output all the tuples with $\gamma=1$ even without having examined many nodes (such as $a_{3}$ or $b_{2}$ ). Thus the crux of the problem is to choose dynamically (based on the given $w$ 's and the revealed $\delta$ values) an order of evaluation of the nodes for the two processors to minimize makespan.


Figure 1: An instance of DBOP

For an ordering algorithm $A l g$ on a DBOP instance $I$, let $c(A l g, I)$ denote the time for $A l g$ to evaluate $\gamma$ on $I$, that is, $c(A l g, I)=\max \left\{c\left(A l g, D_{1}, I\right), c\left(A l g, D_{2}, I\right)\right\}$, where $c\left(A l g, D_{1}, I\right)$ and $c\left(A l g, D_{2}, I\right)$ indicate, respectively, the time in which $D_{1}$ and $D_{2}$ finish their evaluations. In the complexity measure $c$ only the time incurred by the evaluation of $\delta$ over the nodes of the graph is charged. When the context is clear we may drop some of the $c$ arguments. We use $t(\mathrm{Alg})$ to denote the total running time spent by Alg on arriving at the evaluation orders in the worst case. Typically, we would like $t$ to be a low-order polynomial in the size of the bipartite graph $G$.

Define the quality (performance ratio) of an Algorithm $A l g$ for instance $I$ as

$$
q(A l g, I)=\frac{c(A l g, I)}{c(O p t, I)},
$$

where $O p t$ is the optimal algorithm for the corresponding instance - the one with minimum evaluation time. Furthermore, define the absolute quality (quality for short) of an Algorithm Alg as

$$
q(A l g)=\max _{I \in \text { Inst }}\left\{\frac{c(A l g, I)}{c(O p t, I)}\right\}
$$

where Inst is the set of all possible instances for DBOP .

### 1.2 Our Results

In [7], it was shown that no deterministic algorithm has quality smaller than 2. A simple algorithm called MBC (Minimum Balanced Cover) was proposed in that paper, which is optimal under the quality metric (has quality 2) but has $t(M B C)$ exponential in the size of $G$. In order to circumvent this problem, a variant, $\mathrm{MBC}^{*}$, was proposed, that runs in polynomial time, that is $t\left(M B C^{*}\right)=O\left(|G|^{3}\right)$, but with slightly worse quality: $q\left(M B C^{*}\right)=3$.

In this paper, we first present a simple linear time deterministic algorithm for DBOP which has the best-possible quality of 2 in the case when all weights $w$ are uniform. In the non-uniform case, we present a randomized algorithm that achieves quality $\frac{8}{3}$. Furthermore, we prove that $\frac{3}{2}$ is a lower bound on the expected quality of any (even exponential-time) randomized strategy.

Our paper is organized as follows. In Section 2, we present lower bounds on $c(O p t, I)$. In section 3, we present a deterministic algorithm for uniform weights. In section 4, we present the rMBC algorithm, a randomized version of $\mathrm{MBC}^{*}$. This algorithm is used as a subroutine for 2 rMBC , the $\frac{8}{3}$-expected quality algorithm proposed in Section 5. In Section 6, we present a lower bound on the quality of any randomized algorithm for DBOP . Finally, in Section 7 we present our conclusions and indicate some open problems.

## 2 Lower Bounds

Let $G=(A \cup B, E)$ be a simple bipartite graph with node weights $w(\cdot)$. A (vertex) cover for $G$ is the union of two subsets $X$ and $Y, X \subset A$ and $Y \subset B$, such as that for every edge $e \in E$, at least one of $e^{\prime}$ s endpoints is in $X \cup Y$. Given $V^{\prime} \subset V$, define $w\left(V^{\prime}\right)$ to be $\sum_{v \in V^{\prime}} w(v)$.

Given a graph $G$ and non-negative numbers $g_{1}$ and $g_{2}$, the MinMax Cover $M M C\left(g_{1}, g_{2}\right)$ problem is to find a cover $X \cup Y$ for $G$ that minimizes $\max \left\{g_{1}+w(X), g_{2}+w(Y)\right\}$, among all possible covers for $G$. This problem is NP-complete as shown in [7]. Furthermore, it admits the following natural integer programming formulation.

$$
\begin{array}{cc}
\quad \text { Minimize } t & \\
\text { subject to } & \\
g_{1}+\sum_{i \in A} w\left(a_{i}\right) a_{i} & \leq t \\
g_{2}+\sum_{j \in B} w\left(b_{j}\right) b_{j} & \leq t \\
a_{i}+b_{j} \geq 1 & \forall(i, j) \in E \\
a_{i} \in\{0,1\} & \forall i \in A \\
b_{j} \in\{0,1\} & \forall j \in B
\end{array}
$$

In the above program, a variable $a_{i}\left(b_{j}\right)$ is assigned to 1 if the node $a_{i}$ belongs to the cover and it is set to 0 , otherwise.

We also consider the well known Minimum Bipartite Vertex Cover (MBVC) problem, which consists of finding a cover $X \cup Y$ for $G$, with $X \subset A$ and $Y \subset B$, that minimizes $w(X)+w(Y)$. This problem can be solved in $O(|E|(|A|+|B|) \log (|E|))$ time through a max st flow algorithm [4, 6]. We have the following lemmas.

Lemma 1 Let $I=(G, \delta)$ be an instance of $D B O P$ and let $X^{*} \cup Y^{*}$ be a solution of $M M C(0,0)$ for $G$. Then $c(O p t, I) \geq \max \left\{w\left(X^{*}\right), w\left(Y^{*}\right)\right\}$.

Proof: For every edge $e \in E$, at least one of its endpoints must be evaluated, otherwise it is not possible to determine $\gamma(e)$. Let $X_{o p t} \cup Y_{\text {opt }}$ be the set of nodes evaluated by $O p t$ when it solves $I$. Clearly, $X_{\text {opt }} \cup Y_{\text {opt }}$ is a cover for $G$, otherwise there is an edge $e \in E$ such that none of its endpoints is evaluated by $O p t$. Since $X^{*} \cup Y^{*}$ is an optimal solution of $\operatorname{MMC}(0,0)$ for $G$, it follows that $c(o p t, I) \geq \max \left\{w\left(X_{o p t}\right), w\left(Y_{o p t}\right)\right\} \geq \max \left\{w\left(X^{*}\right), w\left(Y^{*}\right)\right\}$.

Lemma 2 Let $I=(G, \delta)$ be an instance of $D B O P$ and let $\bar{X} \cup \bar{Y}$ be a solution of MBVC for $G$. Then,

$$
\begin{equation*}
c(O p t, I) \geq(w(\bar{X})+w(\bar{Y})) / 2 \tag{1}
\end{equation*}
$$

and

$$
\begin{equation*}
c(O p t, I) \geq w(z), \tag{2}
\end{equation*}
$$

for every $z \in \bar{X} \cup \bar{Y}$
Proof: The correctness of (1) follows from lemma 1 and from the fact that

$$
(w(\bar{X})+w(\bar{Y})) / 2 \leq \max \left\{w\left(X^{*}\right), w\left(Y^{*}\right)\right\},
$$

where $X^{*} \cup Y^{*}$ be a solution of $\operatorname{MMC}(0,0)$ for $G$.
Now, let $z$ be a node in $\bar{X} \cup \bar{Y}$ and let $N(z)$ be the set of nodes adjacent to $z$ in $A \cup B$. We observe that either Opt evaluates $z$ or every node in $N(z)$, otherwise there is an edge $e \in E$ such that none of its endpoints is evaluated by Opt. Hence, $c(O p t, I) \geq \min \{w(z), w(N(z))\}$. Nevertheless, $\min \{w(z), w(N(z))\}=w(z)$, otherwise replacing $z$ by $N(z)$ we would have a solution for MBVC with weight smaller than that of $\bar{X} \cup \bar{Y}$, which is a contradiction.

### 2.1 Further Hybrid Lower Bounds

For the next lower bound, we need additional notation. Let $V^{\prime}$ be a subset of $V$. We define $T\left(V^{\prime}\right)$ (denoting the "True" nodes of $V^{\prime}$ ) by $T\left(V^{\prime}\right)=\left\{v \in V^{\prime} \mid \delta(v)=1\right\}$. Moreover, we use $N\left(V^{\prime}\right)$ to indicate the neighborhood of $V^{\prime}$. Finally, we define $N T\left(V^{\prime}\right)$ by $N\left(T\left(V^{\prime}\right)\right)$.

Lemma 3 Let $I=(G, \delta)$ an instance of $D B O P$. Then,

$$
c(O p t, I) \geq \max \{w(N T(B)), w(N T(A))\}
$$

Proof: Let $a \in N T(B)$. It implies that there is $b \in B$, with $\delta(B)=1$ such that $(a, b) \in E$. Hence, in order to determine whether $\gamma(a, b)=1$ or not, the node $a$ must be evaluated. Hence, every node in $N T(B)$ must be evaluated by any algorithm for $I$. A symmetric argument shows that every node in $N T(A)$ must be evaluated.

We now present our last lower bound that can be viewed as a generalization of the lower bounds presented in Lemmas 1 and 3. We need to introduce the concept of a essential set.

Definition $1 A$ set $V^{\prime} \subset V$ is a essential set if and only if $v \in N T(V)$ for all $v \in V^{\prime}$.
We observe that if $V^{\prime}$ is a a essential set, then all of its nodes must be evaluated by any algorithm, and in particular, by $O p t$.

Lemma 4 Let $I=(G, \delta)$ an instance of $D B O P$. Furthermore, given two essential sets $A^{\prime}$ and $B^{\prime}$, with $A^{\prime} \subset A$ and $B^{\prime} \subset B$, let $G^{\prime}$ be the graph induced by $V \backslash\left(A^{\prime} \cup B^{\prime}\right)$. If $\bar{A} \cup \bar{B}$, with $\bar{A} \subset A$ and $\bar{B} \subset B$, solves $\operatorname{MMC}\left(w\left(A^{\prime}\right), w\left(B^{\prime}\right)\right)$ for the graph $G^{\prime}$, then

$$
c(O p t, I) \geq \max \left\{w\left(A^{\prime}\right)+w(\bar{A}), w\left(B^{\prime}\right)+w(\bar{B})\right\}
$$

Proof: Let $X_{\text {opt }} \cup Y_{\text {opt }}$, with $X_{\text {opt }} \subset A$ and $Y_{\text {opt }} \subset B$ be the set of nodes evaluated by Opt when it solves the instance $I$. Since $A^{\prime}$ and $B^{\prime}$ are essential sets, it follows that $A^{\prime} \subset X_{o p t}$ and $B^{\prime} \subset Y_{\text {opt }}$. Furthermore, $\left(X_{\text {opt }} \backslash A^{\prime}\right) \cup\left(Y_{\text {opt }} \backslash B^{\prime}\right)$ is a cover for $G^{\prime}$, otherwise one of the edges in $G^{\prime}$ cannot be determined. Since $\bar{A} \cup \bar{B}$ solves $\operatorname{MMC}\left(\mathrm{w}\left(\mathrm{A}^{\prime}\right), \mathrm{w}\left(\mathrm{B}^{\prime}\right)\right)$ for the graph $G^{\prime}$,

$$
\begin{aligned}
\max \left\{w\left(A^{\prime}\right)+w(\bar{A}), w\left(B^{\prime}\right)+w(\bar{B})\right\} & \leq \max \left\{w\left(A^{\prime}\right)+w\left(X_{\text {opt }} \backslash A^{\prime}\right), w\left(B^{\prime}\right)+w\left(Y_{\text {opt }} \backslash B^{\prime}\right)\right\} \\
& =\max \left\{w\left(X_{\text {opt }}\right), w\left(Y_{\text {opt }}\right)\right\} \leq c(O p t, I) .
\end{aligned}
$$

## 3 An Algorithm for Uniform Weights

In this section we present a simple deterministic algorithm which runs in linear time and delivers a quality of 2 in the case when the values of the query weights are identical for all nodes in $V$.

We assume w.l.o.g that $w(v)=1$ for all $v \in V$. Let $M$ be some maximal matching of $G$, and let $M_{A}=M \cap A$ and $M_{B}=M \cap B$. Finally we set $K_{A}=N T\left(M_{B}\right) \backslash M_{A}$ and $K_{B}=N T\left(M_{A}\right) \backslash M_{B}$.

In the first phase of the algorithm we evaluate the function $\delta$ in parallel for the nodes in $M_{A}$ and $M_{B}$. This takes time $|M|=\left|M_{A}\right|=\left|M_{B}\right|$. In the second phase we evaluate $\delta$ for $K_{A}$ and $K_{B}$ in parallel, requring time $\max \left\{\left|K_{A}\right|,\left|K_{B}\right|\right\}$. Since $M_{A} \cup M_{B}$ is a cover of $G, \delta$ is evaluated for at least one endpoint of each edge in the first phase. After the second phase, we have either evaluated both endpoints of an edge, or we have that $\delta$ is 0 on one of its endpoints, hence the algorithm is correct.

For the analysis of the quality guarantee we appeal to Lemma 4 . Let $G^{\prime}$ be the graph induced by $V \backslash\left(K_{A} \cup K_{B}\right)$, and let $\bar{A} \cup \bar{B}$ be an optimizer for $M M C\left(\left|K_{A}\right|,\left|K_{B}\right|\right)$ in the graph $G^{\prime}$ induced by the bipartition $\left(A \backslash K_{A}, B \backslash K_{B}\right)$. By Lemma 4, we have that $c(O p t, I) \geq \max \left\{\left|K_{A}\right|+|\bar{A}|,\left|K_{B}\right|+|\bar{B}|\right\}$. Thus

$$
q \leq \frac{|M|+\max \left\{\left|K_{A}\right|,\left|K_{B}\right|\right\}}{\max \left\{\left|K_{A}\right|+|\bar{A}|,\left|K_{B}\right|+|\bar{B}|\right\}} \leq 2 \frac{|M|+\max \left\{\left|K_{A}\right|,\left|K_{B}\right|\right\}}{\left|K_{A}\right|+|\bar{A}|+\left|K_{B}\right|+|\bar{B}|} \leq 2 \frac{|M|+\max \left\{\left|K_{A}\right|,\left|K_{B}\right|\right\}}{|M|+\left|K_{A}\right|+\left|K_{B}\right|} \leq 2,
$$

where the penultimate inequality follows from the fact that since $G^{\prime}$ contains $M$, and $\bar{A} \cup \bar{B}$ is a cover of $G^{\prime},|\bar{A}|+|\bar{B}| \geq|M|$.

## 4 A Randomized Algorithm

In this section we present rMBC, a randomized algorithm for DBOP. This algorithm is used as a subroutine by the 2 rMBC algorithm presented in the next section. rMBC can be viewed as a randomized version of the $M B C^{*}$ algorithm proposed in [7].

Before presenting rMBC, we briefly outline the $M B C^{*}$ algorithm. $M B C^{*}$ is divided into three steps. In the first step, the MBVC problem is solved for the input graph $G$. Let $A^{*} \cup B^{*}$, with $A^{*} \subset A$ and $B^{*} \subset B$, be the solution for MBVC obtained in the first step. In the second step, the function $\delta$ is evaluated for the vertices in $A^{*} \cup B^{*}$. At the end of this step, at least one endpoint of every edge has already been computed. Let $e=(a, b)$. If one of the $e$ endpoints, say $a$, evaluated at the second phase is such that $\delta(a)=0$, then the evaluation of the other endpoint $b$ is not necessary since $\gamma(e)=0$. Therefore, only those vertices adjacent to at least one vertex with $\delta$ value equal to 1 are evaluated in the third step. The rMBC algorithm is similar to $M B C^{*}$, except for the fact that it evaluates the cover nodes following a randomly selected order, while $M B C^{*}$ follows a fixed order. Figure 2 shows the pseudo-code for rMBC .

From now, we assume w.l.o.g. that $w\left(A^{\prime}\right) \geq w\left(B^{\prime}\right)$. In this case, the processor $D_{1}$ does not become idle during the while loop, and as a consequence,

```
Step 1 : Solve MBVC for the graph \(G\) obtaining the sets \(A^{\prime}\) and \(B^{\prime}\).
Do in Parallel
    Processor \(D_{1}\) :
        \(N e\left(A^{\prime}\right) \leftarrow \emptyset\)
        For \(i:=1\) to \(\left|A^{\prime}\right|\)
            Select randomly a node \(a\) from \(A^{\prime}\) that has not been evaluated yet
            Evaluate \(\delta(a)\)
            \(N e\left(A^{\prime}\right) \leftarrow N e\left(A^{\prime}\right) \cup N T(a)\)
        While there exists a node in \(N e\left(B^{\prime}\right)\) that has not been evaluated
        or \(D_{2}\) has not processed all the nodes from \(B^{\prime}\)
            Let \(a\) be a node from \(N e\left(B^{\prime}\right)\) that has not been evaluated yet
            Evaluate \(\delta(a)\)
    Processor \(D_{2}\) :
        \(N e\left(B^{\prime}\right) \leftarrow \emptyset\)
        For \(i:=1\) to \(\left|B^{\prime}\right|\)
            Select randomly a node \(b\) from \(B^{\prime}\) that has not been evaluated yet
            Evaluate \(\delta(b)\)
            \(N e\left(B^{\prime}\right) \leftarrow N e\left(B^{\prime}\right) \cup N T(b)\)
        While there exists a node in \(N e\left(A^{\prime}\right)\) that has not been evaluated
        or \(D_{1}\) has not processed all the nodes from \(A^{\prime}\)
            Let \(b\) be a node from \(N e\left(A^{\prime}\right)\) that has not been evaluated yet
            Evaluate \(\delta(b)\)
```

Figure 2: rMBC Algorithm

$$
\begin{equation*}
c\left(D_{1}, I\right)=w\left(A^{\prime}\right)+w\left(N T\left(B^{\prime}\right) \backslash A^{\prime}\right) \tag{3}
\end{equation*}
$$

We start the analyzis of rMBC presenting a technical lemma.
Lemma 5 Let $\pi\left(A^{\prime}\right)$ be a random permutation of the nodes in $A^{\prime}$ and let $\pi(i)$ be the ith node in this permutation. Moreover, let $\bar{\pi}$ be the reverse permutation of $\pi$, that is, $\bar{\pi}(i)=\pi(n-i+1)$, for $i=1, \ldots, n$. Being $c\left(D_{2}, \pi\right)$ the time in which $D_{2}$ ends its task, assuming an execution of rMBC over a permutation $\pi$, then

$$
\begin{equation*}
\frac{\max \left\{c\left(D_{2}, \pi\right), c\left(D_{2}, \bar{\pi}\right)\right\}}{c(O p t, I)} \leq 3 \tag{4}
\end{equation*}
$$

and

$$
\begin{equation*}
\frac{c\left(D_{2}, \pi\right)+c\left(D_{2}, \bar{\pi}\right)}{c(O p t, I)} \leq 5 \tag{5}
\end{equation*}
$$

Proof: First, we prove (4). We have that

$$
\max \left\{c\left(D_{2}, \pi\right), c\left(D_{2}, \bar{\pi}\right)\right\} \leq w\left(B^{\prime}\right)+w(N T(A))
$$

On the other hand, it follows from lemmas 2 and 3 that

$$
c(O p t, I) \geq \max \left\{\left(w\left(A^{\prime}\right)+w\left(B^{\prime}\right)\right) / 2, w(N T(A))\right\}
$$

The inequality (4) follows from the two previous inequalities.

Now, we consider the inequality (5). Let $\pi_{j}\left(A^{\prime}\right)$ be the set $\{\pi(1), \pi(2), \ldots, \pi(j)\}$ and let $\pi(k)$ be the first node of $\pi\left(A^{\prime}\right)$ such that after its evaluation by $D_{1}$, the processor $D_{2}$ does not become idle anymore. Then, we have that

$$
\begin{equation*}
c\left(D_{2}, \pi\right)=w\left(\pi_{k}\left(A^{\prime}\right)\right)+w\left(N T\left(A^{\prime}\right) \backslash N T\left(\pi_{k-1}\left(A^{\prime}\right)\right)\right), \tag{6}
\end{equation*}
$$

Now, let $\bar{\pi}(\bar{k})$ be the first node of $\bar{\pi}\left(A^{\prime}\right)$ such that after its evaluation, the processor $D_{2}$ does not become idle anymore. In this case,

$$
\begin{equation*}
c\left(D_{2}, \bar{\pi}\right)=w\left(\bar{\pi}_{\bar{k}}\left(A^{\prime}\right)\right)+w\left(N T\left(A^{\prime}\right) \backslash N T\left(\bar{\pi}_{\bar{k}-1}\left(A^{\prime}\right)\right)\right) . \tag{7}
\end{equation*}
$$

We divide the analysis into two cases
case 1) $k+\bar{k} \leq\left|A^{\prime}\right|+1$. In this case we have $w\left(\pi_{k}\left(A^{\prime}\right)\right)+w\left(\bar{\pi}_{\bar{k}}\left(A^{\prime}\right)\right) \leq w\left(A^{\prime}\right)+w(\pi(k))$, hence it follows from (6) and (7) that

$$
\begin{equation*}
c\left(D_{2}, \pi\right)+c\left(D_{2}, \bar{\pi}\right) \leq w\left(A^{\prime}\right)+\max _{a \in A^{\prime}}\{w(a)\}+2 w\left(N T\left(A^{\prime}\right)\right) . \tag{8}
\end{equation*}
$$

case 2) $k+\bar{k}>\left|A^{\prime}\right|+1$. In this case we have, $\left(N T\left(A^{\prime}\right) \backslash N T\left(\pi_{k-1}\left(A^{\prime}\right)\right)\right) \cap\left(N T\left(A^{\prime}\right) \backslash N T\left(\bar{\pi}_{\bar{k}-1}\left(A^{\prime}\right)\right)\right)=$ $\emptyset$. This in addition with the sum of (6) and (7) yields,

$$
\begin{equation*}
c\left(D_{2}, \pi\right)+c\left(D_{2}, \bar{\pi}\right) \leq 2 w\left(A^{\prime}\right)+w\left(N T\left(A^{\prime}\right)\right) . \tag{9}
\end{equation*}
$$

On the other hand, it follows from lemmas 2 and 3 that

$$
\begin{equation*}
c(O p t, I) \geq \max \left\{w\left(A^{\prime}\right) / 2, \max _{a \in A^{\prime}}\{w(a)\}, w\left(N T\left(A^{\prime}\right)\right)\right\} \tag{10}
\end{equation*}
$$

The inequality (5) can be established through some algebraic manipulations involving (8), (9) and (10).

Theorem 6 Let $I=(G, \delta)$ be an instance of $D B O P$. If

$$
\frac{c\left(D_{1}, I\right)}{c(O p t, I)} \leq 7 / 3
$$

then

$$
E[q(r M B C, I)] \leq 8 / 3
$$

Proof: Let $\Pi$ be the set of all possible permutations for the nodes in $A^{\prime}$. By definition,

$$
\begin{aligned}
E[q(r M B C, I)] & =\frac{1}{\left|A^{\prime}\right|!} \sum_{\pi \in \Pi} \max \left\{\frac{c\left(D_{1}, I\right)}{c(O p t, I)}, \frac{c\left(D_{2}, \pi\right)}{c(O p t, I)}\right\} \leq \frac{1}{\left|A^{\prime}\right|!} \sum_{\pi \in \Pi} \max \left\{\frac{7}{3}, \frac{c\left(D_{2}, \pi\right)}{c(O p t, I)}\right\} \\
& =\frac{1}{2\left|A^{\prime}\right|!} \sum_{\pi \in \Pi}\left(\max \left\{\frac{7}{3}, \frac{c\left(D_{2}, \pi\right)}{c(O p t, I)}\right\}+\max \left\{\frac{7}{3}, \frac{c\left(D_{2}, \bar{\pi}\right)}{c(O p t, I)}\right\}\right)
\end{aligned}
$$

Hence, in order to establish the result, it suffices to prove that

$$
\begin{equation*}
\max \left\{\frac{7}{3}, \frac{c\left(D_{2}, \pi\right)}{c(\text { Opt }, I)}\right\}+\max \left\{\frac{7}{3}, \frac{c\left(D_{2}, \bar{\pi}\right)}{c(O p t, I)}\right\} \leq 16 / 3, \tag{11}
\end{equation*}
$$

for every $\pi \in \Pi$. We assume w.l.o.g. that $c\left(D_{2}, \pi\right) \geq c\left(D_{2}, \bar{\pi}\right)$. If $c\left(D_{2}, \pi\right) \leq 8 / 3$, we are done. Hence, we assume that $c\left(D_{2}, \pi\right)>8 / 3$. The correctness of (11) can be established by applying the inequality (4) in the cases where $c\left(D_{2}, \bar{\pi}\right) / c(O p t, I) \leq 7 / 3$ and the inequality (5) in the case where $c\left(D_{2}, \bar{\pi}\right) / c(O p t, I)>7 / 3$.

## 5 2rMBC Algorithm

At this section, we present the 2 rMBC Algorithm, a polynomial time algorithm with expected quality $8 / 3$.

First, the rMBC algorithm is executed until $D_{2}$ finishes evaluating the nodes in $B^{\prime}$ (we are assuming w.l.o.g. that $w\left(B^{\prime}\right) \leq w\left(A^{\prime}\right)$ ). At this point, the execution is interrupted and 2 rMBC analyzes the information achieved so far. Based on this analysis, it takes one of the two following decisions: Either to resume the execution of rMBC or to execute a second, new algorithm called 2ndCover . Thus, the algorithm has two phases. The phase 1 is divided into two steps. Let $K=N T\left(B^{\prime}\right) \backslash A^{\prime}$. In step 1 , 2 rMBC checks if

$$
\begin{equation*}
\frac{c\left(D_{1}, r M B C, I\right)}{c(o p t, I)} \leq \frac{w\left(A^{\prime}\right)+w(K)}{\max \left\{w\left(N T\left(B^{\prime}\right)\right),\left(w\left(A^{\prime}\right)+w\left(B^{\prime}\right)\right) / 2\right\}} \leq \frac{7}{3} \tag{12}
\end{equation*}
$$

If the ratio is not larger than $7 / 3$, then it follows from Theorem 6 that the expected quality of 2 rMBC (rMBC ) for the current instance is not larger than $8 / 3$. In this case, the execution of rMBC is resumed.

If the test in step 1 fails, then step 2 is executed. In this step, 2 rMBC computes a new lower bound on $c(O p t, I)$. Let $G^{\prime}$ the bipartite graph induced by $V \backslash K$. It is easy to check that $K$ is a essential set. It follows from Lemma 4 that if $\bar{A} \cup \bar{B}$ is a solution to the graph $G^{\prime}$, then $\max \{(w(K)+w(\bar{A}), w(\bar{B})\}$ is a lower bound on $c(O p t, I)$. The main problem is that solving MMC may require exponential time. Hence, instead of solving $M M C(w(K), 0)$ on $G^{\prime}, 2$ rMBC solves a linear programming (LP) relaxation of the integer programming formulation for $M M C(w(K), 0)$ presented in Section 2. The LP relaxation is obtained replacing the constraints $a_{i} \in\{0,1\}$ and $b_{j} \in\{0,1\}$ by $0 \leq a_{i} \leq 1$ and $0 \leq b_{j} \leq 1$ respectively. Let $\left(a^{*}, b^{*}, t^{*}\right)$ the optimum solution for the LP relaxation. The end of step 2 consists in checking whether

$$
\begin{equation*}
\frac{c\left(D_{1}, r M B C, I\right)}{c(O p t, I)} \leq \frac{w\left(A^{\prime}\right)+w(K)}{t^{*}} \leq \frac{7}{3} \tag{13}
\end{equation*}
$$

In the positive case, the execution of rMBC is resumed. Otherwise, the following 2ndCover algorithm is executed.

### 5.1 The 2ndCover Algorithm

First, the solution of the LP relaxation solved in Step 2 is rounded to obtain a feasible cover $A 2 \cup B 2$ for $G^{\prime}$, where $A 2 \subset A \backslash K$ and $B 2 \subset B$. Let $x=\sum_{i \in A \backslash K} a_{i}^{*} w\left(a_{i}\right)$ and $y=\sum_{j \in B} b_{j}^{*} w\left(b_{j}\right)$.

The cover is obtained through the following procedure:
0) $A 2 \leftarrow \emptyset ; B 2 \leftarrow \emptyset$

1) For every $i \in A \backslash K$ such that $a_{i}^{*} \geq x /(y+x)$

$$
A 2 \leftarrow A 2 \cup\left\{a_{i}\right\}
$$

20 For every $j \in B$ such that $b_{j}^{*} \geq y /(y+x)$

$$
B 2 \leftarrow B 2 \cup\left\{b_{j}\right\}
$$

Now the processors $D_{1}$ and $D_{2}$ are used to evaluate the nodes in $A 2$ and $B 2$ respectively. Finally $D_{1}$ and $D_{2}$ evaluate the nodes from $N T\left(B^{\prime} \cup B 2\right)$ and $N T(A 2)$, respectively, that have not yet been processed.

### 5.2 Algorithm Analysis

In this section, we analyze the performance of 2 rMBC .

Theorem 7 2rMBC is correct.
Proof: If either inequality (12) or inequality (13) holds, then 2 rMBC 's correctness follows from that of rMBC, which in turn follows from the correctness of $M B C^{*}$ outlined in the introduction of Section 4. On the other hand, if neither inequality (12) nor inequality (13) hold then 2ndCover is executed. Since $A^{\prime} \cup B^{\prime}$ is a cover of $G, B^{\prime}$ must contain the neighbors of $K$, hence the algorithm processes both endpoints of any edge with an endpoint in $K$. If $A 2 \cup B 2$ is a cover of $G^{\prime}=G[V \backslash K]$ then again an argument analogous to the one used to establish the correctness of $M B C^{*}$ shows that upon termination of the algorithm, $\gamma$ may be evaluated for the edges of $G^{\prime}$. Thus the only thing which remains to be shown is that $A 2 \cup B 2$ indeed covers $G^{\prime}$. For an edge $i j$ of $G^{\prime}$, we have that $a_{i}^{*}+b_{j}^{*} \geq 1=x /(y+x)+y /(y+x)$, hence at least one of $i$ and $j$ must belong to $A 2 \cup B 2$.
Lemma 8 Let $A 2 \cup B 2$ be the cover for $G^{\prime}$ obtained by $2 n d C o v e r ~ a l g o r i t h m . ~ T h e n, ~$

$$
\max \{w(A 2), w(B 2)\} \leq x+y
$$

Proof: It follows from the rounding scheme that

$$
w(A 2)=\sum_{i \in A 2} w_{i} \leq \sum_{i \in A \backslash K} a_{i}^{*} w_{i}(y+x) / x \leq \frac{y+x}{x} \sum_{i \in A \backslash K} a_{i}^{*} w_{i}=y+x .
$$

The analysis for $B 2$ is symmetric.

## Theorem 9

$$
E[q(2 r M B C))] \leq 8 / 3
$$

Proof: First, we consider the case when the execution of rMBC is resumed after the interruption. In this case, either the test at Step 1 or the test at Step 2 is positive, which implies that

$$
\frac{w\left(A^{\prime}\right)+w(K)}{c(O p t, I)} \leq \frac{7}{3}
$$

Therefore, it follows from theorem 6 that $E[q(r M B C, I)] \leq 8 / 3$.
Now, we assume that 2 ndCover is executed. In this case, the tests performed at Step 1 and 2 fail, that is, inequalities (12) and (13) do not hold. First, using the observation that inequality (12) fails, we have that

$$
\begin{equation*}
w(K) \geq \frac{w\left(A^{\prime}\right)}{6}+\frac{7 w\left(B^{\prime}\right)}{6} \tag{14}
\end{equation*}
$$

Using the fact that $w(K)+x \leq t^{*}$, and the fact that (13) does not hold, we have that

$$
\begin{equation*}
7 x \leq 3 w\left(A^{\prime}\right)-4 w(K) \tag{15}
\end{equation*}
$$

Replacing the upper bound on $w(K)$ given by inequality (14) in the inequality above, we conclude that

$$
\begin{equation*}
x \leq w\left(A^{\prime}\right) / 3-2 w\left(B^{\prime}\right) / 3 \tag{16}
\end{equation*}
$$

We have the following upper bound on $c(2 r M B C, I)$.

$$
c(2 r M B C, I) \leq w\left(B^{\prime}\right)+\max \{w(A 2), w(B 2)\}+\max \{w(N T(A)), w(N T(B))\}
$$

Since $w(A 2) \leq x+y, w(B 2) \leq x+y$ and $y \leq t^{*}$, we have that

$$
\begin{equation*}
c(2 r M B C, I) \leq w\left(B^{\prime}\right)+x+t^{*}+\max \{w(N T(A)), w(N T(B))\} \tag{17}
\end{equation*}
$$

Since $w(N T(A)), w(N T(B)),(w(A)+w(B)) / 2$ and $t^{*}$ are lower bounds on $c(O p t, I)$, then

$$
\begin{aligned}
q(2 r M B C, I) & \leq \frac{w\left(B^{\prime}\right)+x+t^{*}+\max \{w(N T(A)), w(N T(B)\}}{\max \left\{w(N T(A)), w(N T(B)), t^{*},\left(w\left(A^{\prime}\right)+w\left(B^{\prime}\right)\right) / 2\right\}} \\
& \leq 2+\frac{w\left(B^{\prime}\right)+x}{\left(w\left(A^{\prime}\right)+w\left(B^{\prime}\right)\right) / 2} \leq 8 / 3
\end{aligned}
$$

where the last inequality follows from (16).

## 6 A 3/2 Lower Bound for Randomized Algorithms

In order to give a lower on the expected quality of any randomized algorithm for DBOP, we apply Yao's minmax principle [9].

We consider a distribution $D$ for the instances of DBOP, where the only instances with nonzero probability are all equally likely and have the following properties.
(i) The input graph $G=(V, E)$ has bipartition $(A, B)$, where $A=\left\{a_{1}, \ldots, a_{2 n}\right\}$ and $B=\left\{b_{1}, \ldots, b_{2 n}\right\}$. Moreover, $E=\left\{\left(a_{i}, b_{i}\right) \mid 1 \leq i \leq 2 n\right\}$ and $w(v)=1$ for every $v \in V$.
(ii) $|T(A)|=|T(B)|=n$ and $\gamma(e)=0$ for every $e \in E$.

Observe that there are exactly $\binom{2 n}{n}$ instances with these properties. In distribution $D$, all of them have probability $1 /\binom{2 n}{n}$. By Yao's principle, the average case quality of an optimal deterministic algorithm for $D$ is a lower bound on the expected quality of any randomized algorithm for DBOP .

Our first observation is that

$$
c(O p t, I)=n
$$

for every instance $I$ with nonzero probability, once $O p t$ evaluates in parallel the nodes in $A \backslash T(A)$ and $B \backslash T(B)$. Let $O p t_{D}$ be the optimal deterministic algorithm for distribution $D$. The expected quality of $O p t_{D}$ is given by the expected cost of $O p t_{D}$ divided by $n$.

In order to evaluate the expected cost of $O p t_{D}$, we first calculate the expected cost of the optimal sequential algorithm for distribution $D$. Next, we argue that the optimal parallel algorithm for $D$ cannot overcome the sequantial one by a factor larger thatn 2 . The sequential algorithm uses only one processor to evaluate $\delta$ over the nodes of the graph. Hence, its cost is given by the number of evaluated nodes. Let $k_{1} \geq k_{2}$. We use $P\left(k_{1}, k_{2}\right)$ to denote the expected cost of an optimal sequential algorithm for a distribution $D_{k_{1}, k_{2}}$, where the only instances with nonzero probability are all equally likely and have the following properties:
(i) The input graph $G=(V, E)$ has bipartition $(A, B)$, where $A=\left\{a_{1}, \ldots, a_{k_{1}+k_{2}}\right\}$ and $B=$ $\left\{b_{1}, \ldots, b_{k_{1}+k_{2}}\right\}$. Moreover, $E=\left\{\left(a_{i}, b_{i}\right) \mid 1 \leq i \leq k_{1}+k_{2}\right\}$.
(ii) $\max \{A \backslash|T(A)|, B \backslash|T(B)|\}=k_{1}, \min \{A \backslash|T(A)|, B \backslash|T(B)|\}=k_{2}$ and $\gamma(e)=0$ for every $e \in E$.

Clearly, the optimal algorithm for distribution $D_{k_{1}, k_{2}}$ firstly evaluates a node from the side with $k_{1}$ false node. If it succeds to find a false node, say $v$, then it does not need to evaluate the node adjacent to $v$. Otherwise, it has. We can formulate the following recursive equation when $k_{1} \geq k_{2}>0$.

$$
P\left(k_{1}, k_{2}\right)=\frac{k_{1}}{k_{1}+k_{2}}\left[1+P\left(\max \left\{k_{1}-1, k_{2}\right\}, \min \left\{k_{1}-1, k_{2}\right\}\right)\right]+\frac{k_{2}}{k_{1}+k_{2}}\left[2+P\left(k_{1}, k_{2}-1\right)\right],
$$

Moreover, $P\left(k_{1}, 0\right)=k_{1}$, since the optimal algorithm just need to evaluate the nodes from one side of the graph. It is possible to verify that

$$
\lim _{n \rightarrow \infty} \frac{P(n, n)}{n}=3
$$

Since, the optimal parallel algorithm $O p t_{D}$ cannot overcome the sequential one by a factor larger than 2, we have that

$$
\lim _{n \rightarrow \infty} \frac{E\left[c\left(O p t_{D}\right)\right]}{n} \geq 3 / 2
$$

Therefore, we can state the following theorem.
Theorem 10 Let Alg be a randomized algorithm for $D B O P$. Then, $E[q(A l g)] \geq 1.5$.

## 7 Conclusion

In this paper we have addressed the problem of evaluating queries in the presence of expensive predicates. We presented a randomized polynomial time algorithm with expected quality $8 / 3$ for the case of general weights. For the case of uniform weights, we show that a very simple deterministic linear time algorithm has quality 2 , which is the best possible quality achievable by a deterministic algorithm [7]. On the other hand, we gave a lower bound of 1.5 on the expected quality of any randomized algorithm. Two major questions remain open:

1. Is there a polynomial time deterministic algorithm with quality 2 for non uniform weights ?
2. Where exactly in between 1.5 and 2.667 is the exact value of the quality of the best possible polynomial randomized algorithm for DBOP ?

For future research, we intend to perform experiments comparing the results from the randomized algorithm presented in this paper with other available algorithms, in particular, those proposed earlier in $[1,5,7]$.

## References

[1] L. Bouganim, F. Fabret, F. Porto, and P. Valduriez, Processing queries with expensive functions and large objects in distributed mediator systems, in Proc. 17th Intl. Conf. on Data Engineering, April 2-6, 2001, Heidelberg, Germany, 2001, pp. 91-98.
[2] S. Chaudhuri and K. Shim, Query optimization in the presence of foreign functions, in Proc. 19th Intl. Conf. on Very Large Data Bases, August 24-27, 1993, Dublin, Ireland, 1993, pp. 529-542.
[3] D. Chimenti, R. Gamboa, and R. Krishnamurthy, Towards on open architecture for $L D L$, in Proc. 15th Intl. Conf. on Very Large Data Bases, August 22-25, 1989, Amsterdam, The Netherlands, 1989, pp. 195-203.
[4] A. V. Goldberg and R. E. Tarjan, A new approach to the maximum flow problem, in Proceedings of the Eighteenth Annual ACM Symposium on Theory of Computing, Berkeley, California, 28-30 May 1986, pp. 136-146.
[5] J. M. Hellerstein and M. Stonebraker, Predicate migration: Optimizing queries with expensive predicates, in Proc. 1993 ACM SIGMOD Intl. Conf. on Management of Data, May 26-28, 1993, Washington, D.C., USA, 1993, pp. 267-276.
[6] D. S. Hochbaum, Approximating clique and biclique problems, Journal of Algorithms, 29 (1998), pp. 174-200.
[7] E. S. Laber, F. Porto, P. Valduriez, and R. Guarino, Improving the complexity of warm-up algorithm, Tech. Rep. 01, Departamento de Informática, PUC-RJ, Rio de Janeiro, Brasil, April 2002.
[8] T. Mayr and P. Seshadri, Client-site query extensions, in Proc. 1999 ACM SIGMOD Intl. Conf. on Management of Data, June 1-3,1999, Philadelphia, Pennsylvania, USA, 1999, pp. 347-358.
[9] A. C. Yao, Probabilistic computations : Toward a unified measure of complexity, in 18th Annual Symposium on Foundations of Computer Science, Long Beach, Ca., USA, Oct. 1977, IEEE Computer Society Press, pp. 222-227.


[^0]:    *This work was supported by an NSF-cNPQcollaborative research grant CCR-9900304.

